

# Laminations of the Unit Disk and Cubic Julia Sets

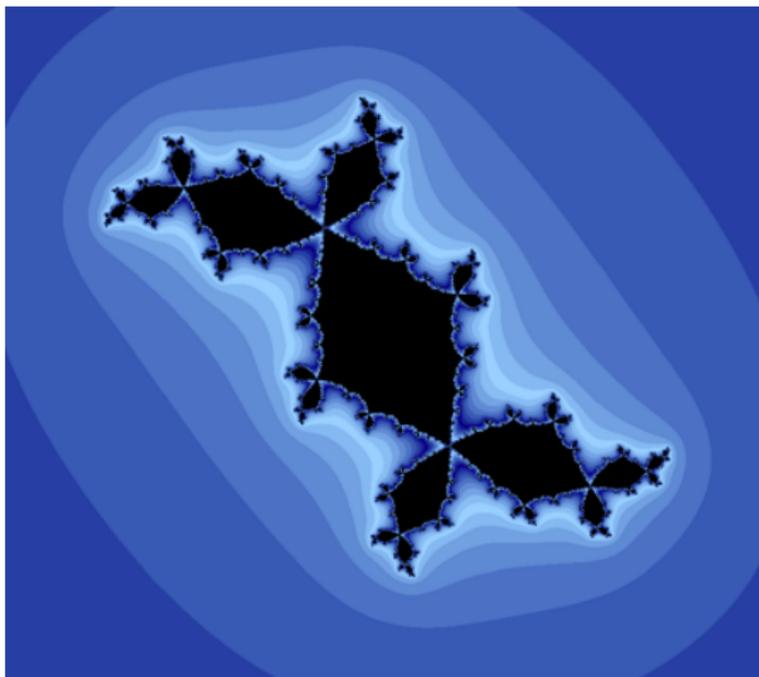
John C. Mayer

Department of Mathematics  
University of Alabama at Birmingham

TOPOSYM 2016, Prague, CZ  
July 25-29, 2016

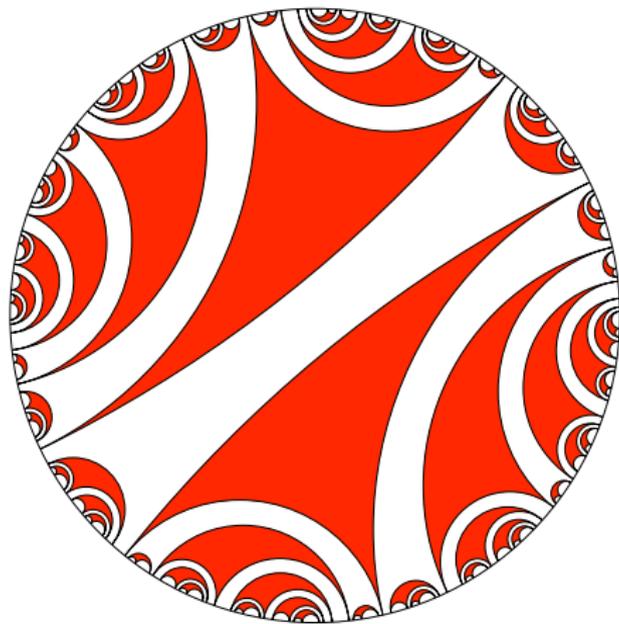
# The Douady Rabbit

$$z \mapsto z^2 - 0.12 + 0.78i$$



Julia sets by FractalStream

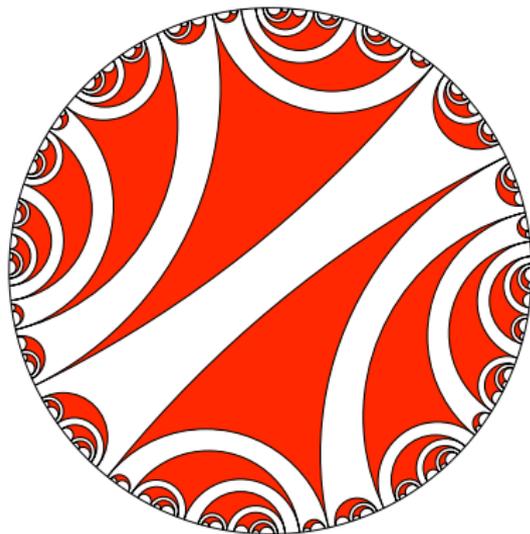
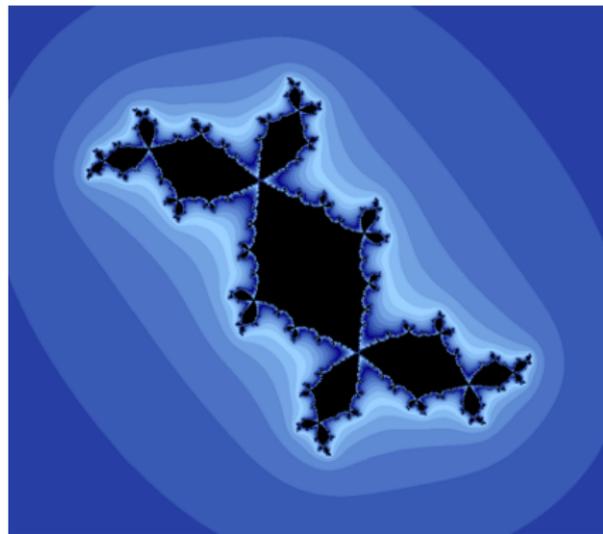
# The Rabbit Lamination



Hyperbolic lamination pictures courtesy of Clinton Curry and Logan Hoehn

# Rabbit Julia Set and Rabbit Lamination

Family resemblance?



# Outline

- 1 From Julia Set to Lamination
- 2 Pullback Laminations
  - Quadratic
  - Cubic
  - Identity Return Triangle
- 3 From Lamination to Julia Set

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# Julia and Fatou Sets of Polynomials

## Definitions:

- Basin of attraction of infinity:  $B_\infty := \{z \in \mathbb{C} \mid P^n(z) \rightarrow \infty\}$ .
- Filled Julia set:  $K(P) := \mathbb{C} \setminus B_\infty$ .
- Julia set:  $J(P) := \text{boundary of } B_\infty = \text{boundary of } K(P)$ .
- Fatou set:  $F(P) := \mathbb{C}_\infty \setminus J(P)$ .

## Theorems (Facts):

- $J(P)$  is nonempty, compact, and perfect.
- $K(P)$  is full (does not separate  $\mathbb{C}$ ).
- Attracting orbits are in Fatou set.
- Repelling orbits are in Julia set.

**Examples:**  $P(z) = z^2$ ;  $P(z) = z^d$ ,  $d > 2$ ;  $P(z) = z^2 - 1$ , etc.

**Assume:**  $J(P)$  is connected.

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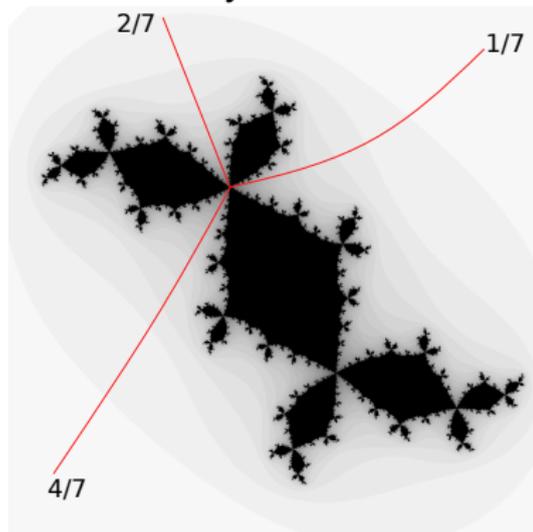
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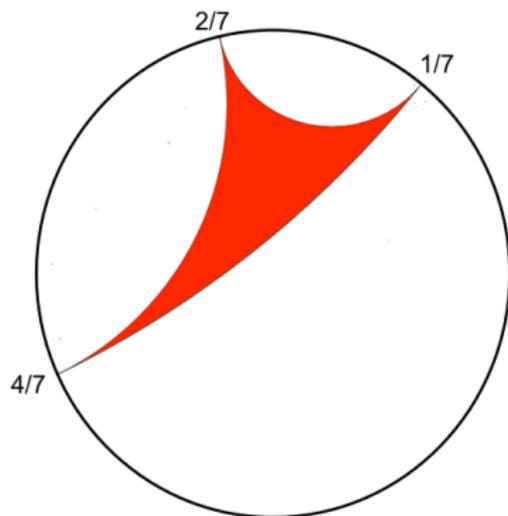
**Assume:**  $J(P)$  is connected.

# The Rabbit Julia Set and Rabbit Triangle

External Rays

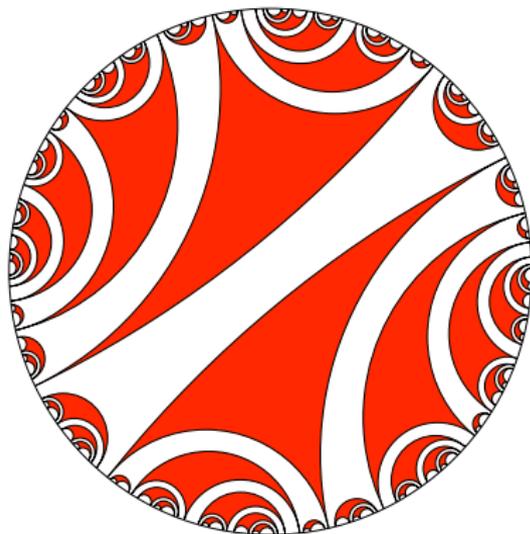
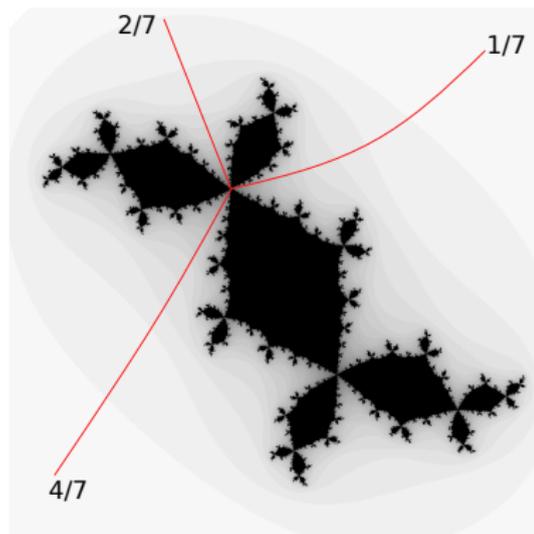


Landing Angles



# The Rabbit Julia Set and Rabbit Lamination

Down the rabbit hole!



# Böttcher's Theorem

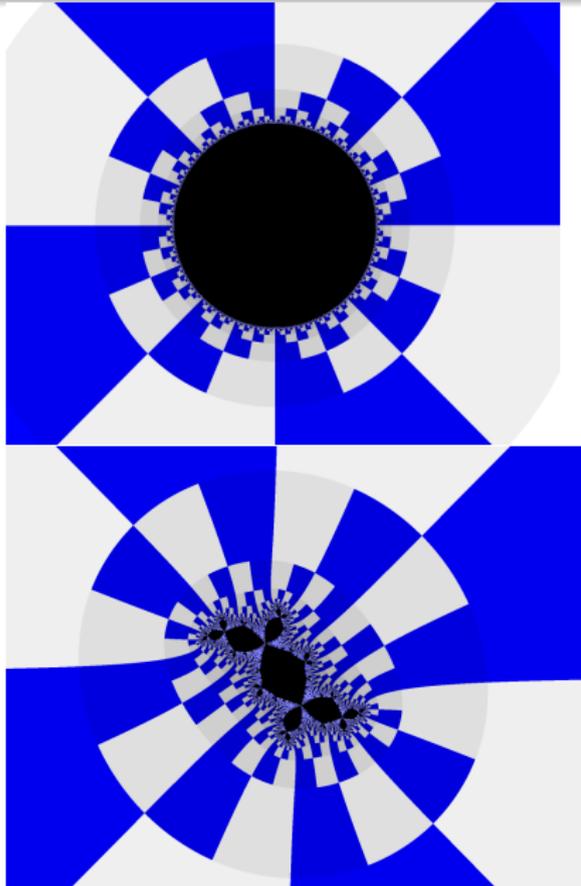
By  $\mathbb{D}_\infty$ , “the disk at infinity,” we mean  $\mathbb{C}_\infty \setminus \overline{\mathbb{D}}$ , the complement of the closed unit disk.

## Theorem (Böttcher)

*Let  $P$  be a polynomial of degree  $d$ . If the filled Julia set  $K$  is connected, then there is a conformal isomorphism*

$$\phi : \mathbb{D}_\infty \rightarrow B_\infty,$$

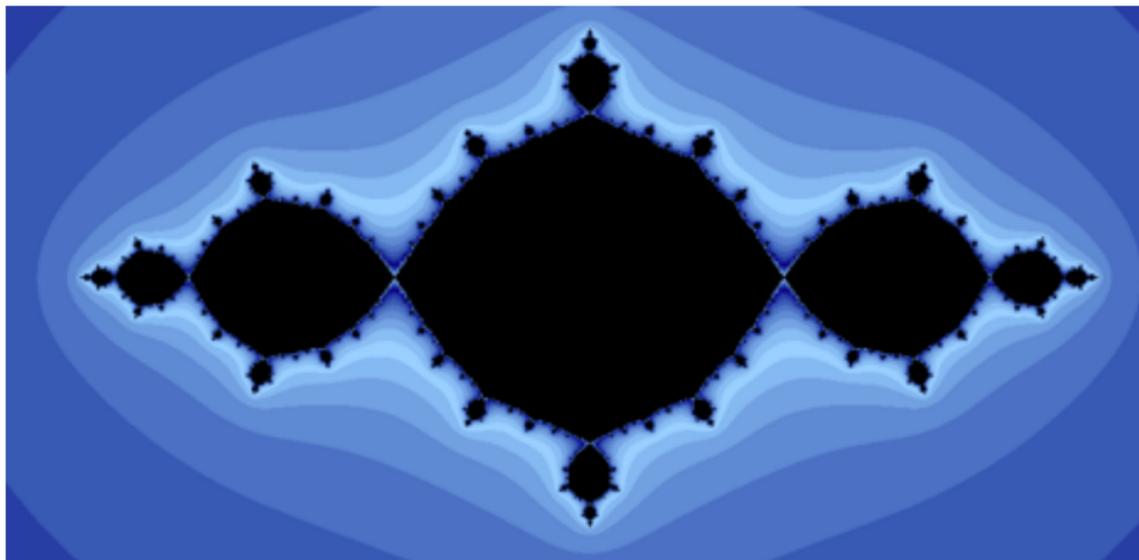
*tangent to the identity at  $\infty$ , that conjugates  $P$  to  $z \rightarrow z^d$ .*



$$\begin{array}{ccc}
 \mathbb{D}_\infty & \xrightarrow{z \mapsto z^d} & \mathbb{D}_\infty \\
 \downarrow \phi & & \downarrow \phi \\
 B_\infty & \xrightarrow{P} & B_\infty
 \end{array}$$

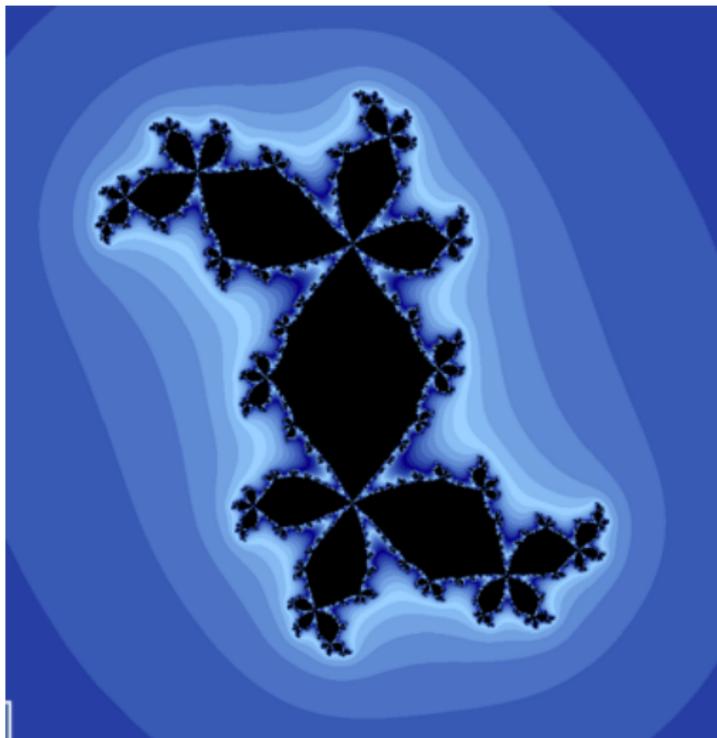
# Basilica

$$z \mapsto z^2 - 1$$



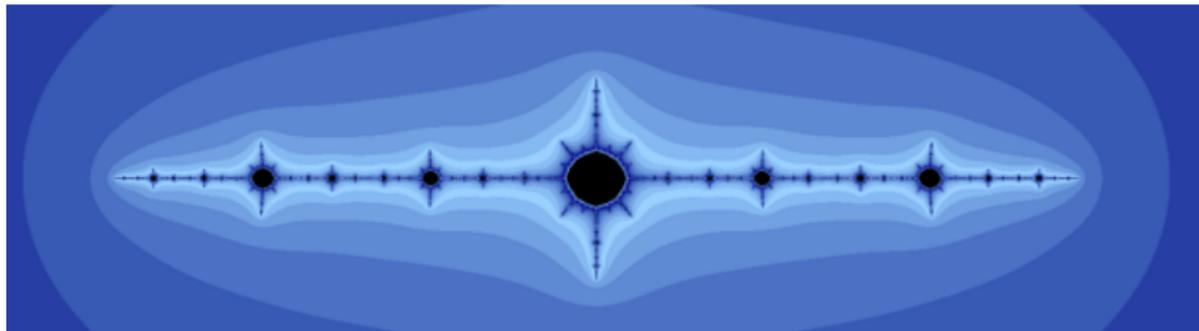
# Dragon

$$z \mapsto z^2 - 0.28136 + 0.5326i$$

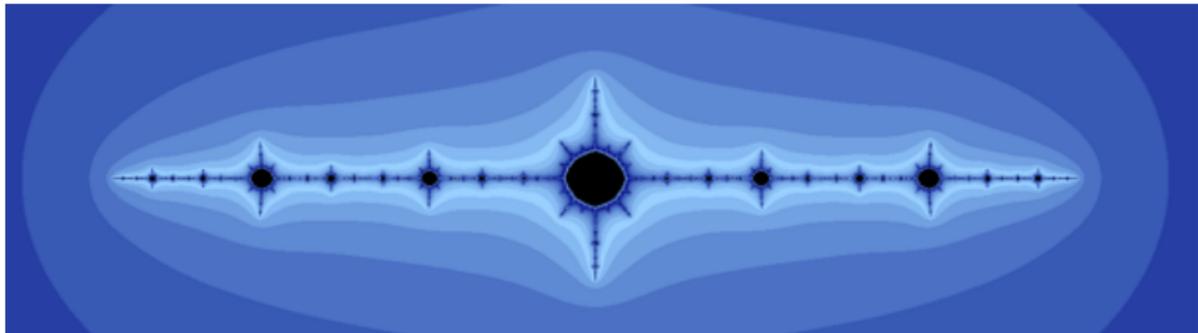


# Airplane

$$z \mapsto z^2 - 1.75$$

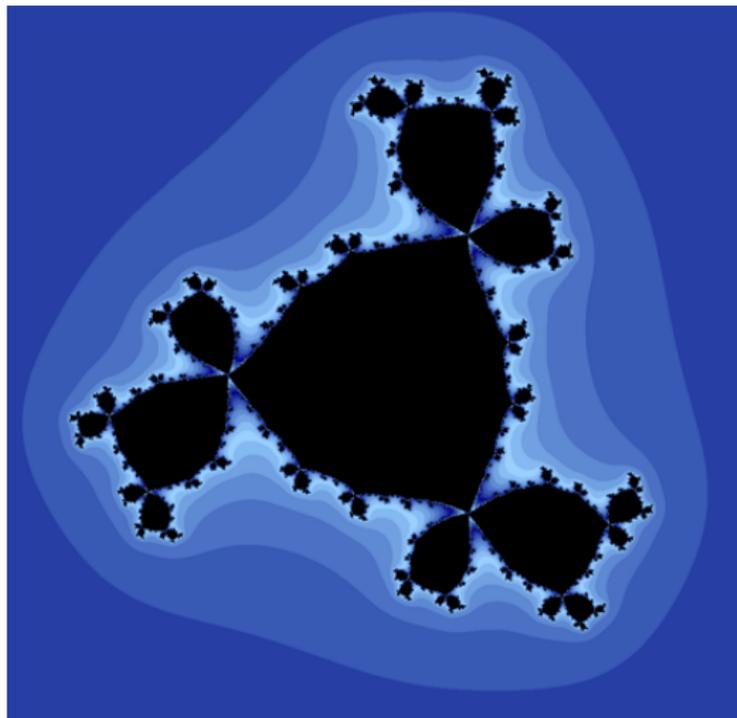


# Airplane and B-17 Yankee Lady 1



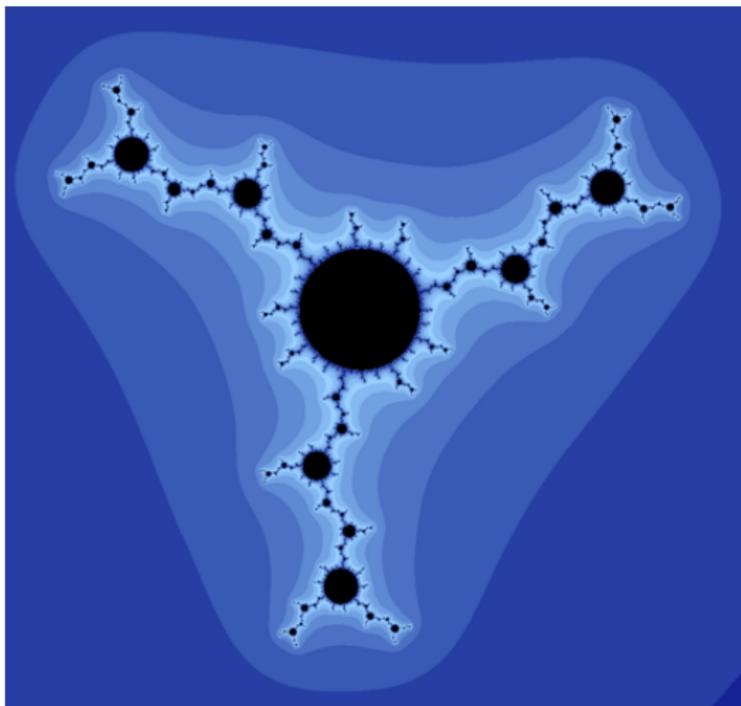
# Cubic Rabbit

$$z \mapsto z^3 + 0.545 + 0.539i$$



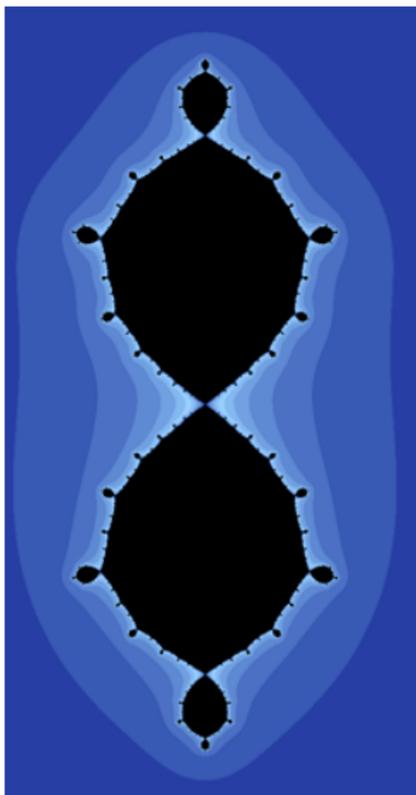
# Helicopter

$$z \mapsto z^3 - 0.2634 - 1.2594i$$



# Cubic Bug

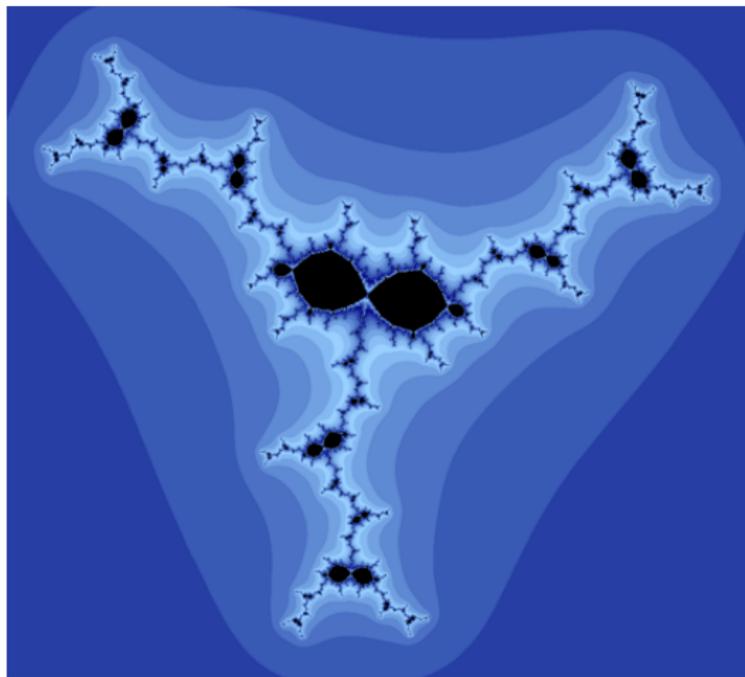
$$z \mapsto z^3 + \frac{\sqrt{2}}{2} i z^2$$



# Cubic Simple Type 1 IRT

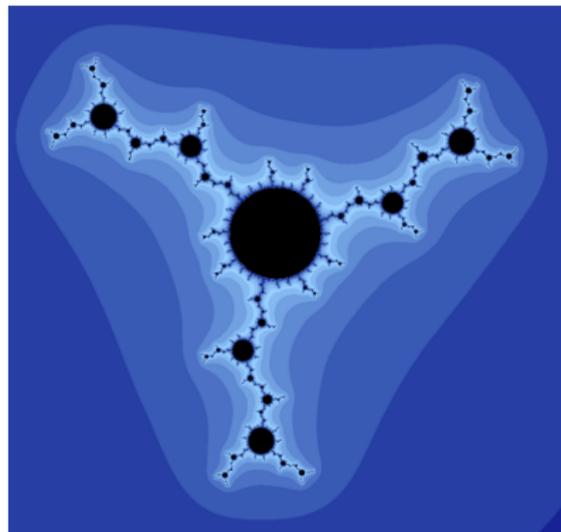
$$z \mapsto z^3 + 3fz^2 + g$$

$$f = -0.167026 + 0.0384441i \text{ and } g = -0.0916222 - 1.2734i$$

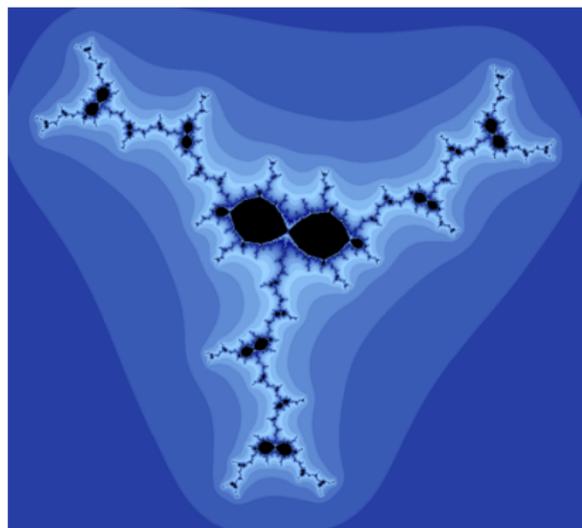


# Comparison

$$z \mapsto z^3 + c$$



$$z \mapsto z^3 + 3fz^2 + g$$



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$$c = -0.2634 - 1.2594i$$

# Laminations of the Disk

- **Laminations** were introduced by William Thurston as a way of encoding connected polynomial Julia sets.

## Definition

- A *lamination*  $\mathcal{L}$  is a collection of chords of  $\overline{\mathbb{D}}$ , which we call *leaves*, with the property that any two leaves meet, if at all, in a point of  $\partial\mathbb{D}$ , and
- such that  $\mathcal{L}$  has the property that

$$\mathcal{L}^* := \partial\mathbb{D} \cup \{\cup\mathcal{L}\}$$

is a closed subset of  $\overline{\mathbb{D}}$ .

- We allow *degenerate* leaves – points of  $\partial\mathbb{D}$ .

# ?Lamination to Julia Set?

## The Beginning: Dynamics on the Circle

- Consider special case  $P(z) = z^d$  on the unit circle  $\partial\mathbb{D}$ .
- $z = re^{2\pi t} \mapsto r^d e^{2\pi(dt)}$ .
- Angle  $2\pi t \mapsto 2\pi(dt)$ .
- Measure angles in revolutions: then  $t \mapsto dt \pmod{1}$  on  $\partial\mathbb{D}$ .
- Points on  $\partial\mathbb{D}$  are coordinatized by  $[0, 1)$ .

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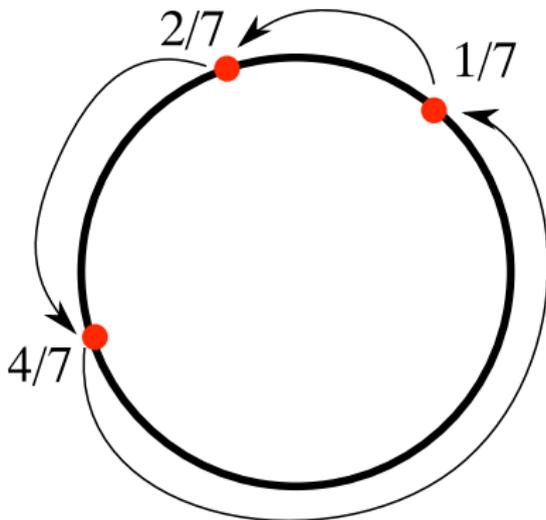
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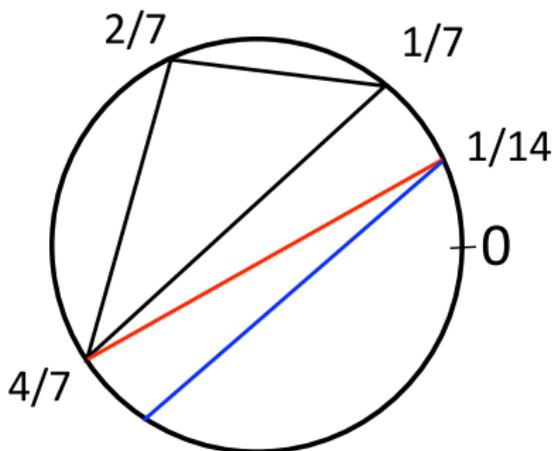
# $\sigma_d$ Dynamics on the Circle

- $\sigma_2 : t \mapsto 2t \pmod{1}$ , **angle-doubling**.



## Induced map $\sigma_d$ on Laminations

- If  $\ell \in \mathcal{L}$  is a leaf, we write  $\ell = \overline{ab}$ , where  $a$  and  $b$  are the endpoints of  $\ell$  in  $\partial\mathbb{D}$ .
- We let  $\sigma_d(\ell)$  be the chord  $\overline{\sigma_d(a)\sigma_d(b)}$ .
- If it happens that  $\sigma_d(a) = \sigma_d(b)$ , then  $\sigma_d(\ell)$  is a point, called a *critical value* of  $\mathcal{L}$ , and we say  $\ell$  is a *critical leaf*.



# Sibling Invariant Laminations

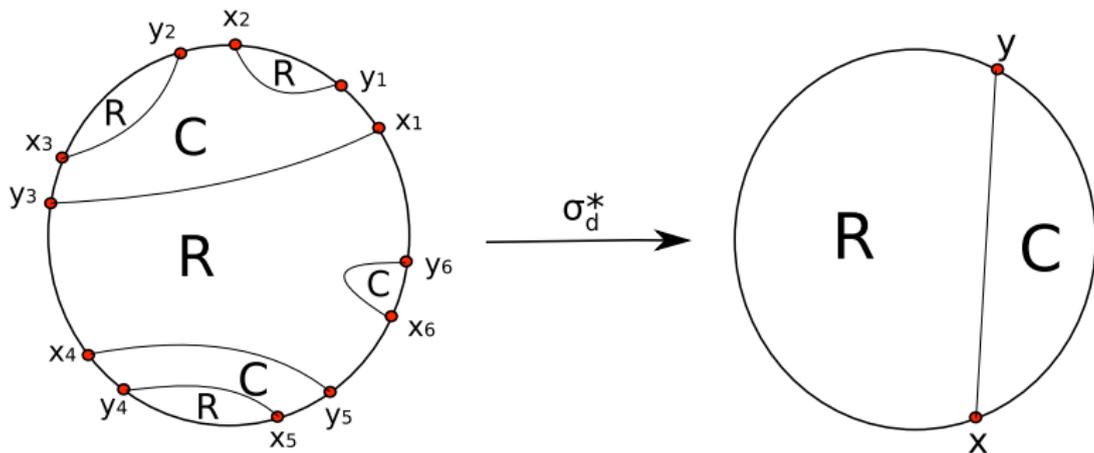
## Definition (Sibling Invariant Lamination)

A lamination  $\mathcal{L}$  is said to be *sibling  $d$ -invariant* (or simply *invariant* if no confusion will result) provided that

- 1 (Forward Invariant) For every  $\ell \in \mathcal{L}$ ,  $\sigma_d(\ell) \in \mathcal{L}$ .
- 2 (Backward Invariant) For every non-degenerate  $\ell' \in \mathcal{L}$ , there is a leaf  $\ell \in \mathcal{L}$  such that  $\sigma_d(\ell) = \ell'$ .
- 3 (Sibling Invariant) For every  $\ell_1 \in \mathcal{L}$  with  $\sigma_d(\ell_1) = \ell'$ , a non-degenerate leaf, there is a full sibling collection  $\{\ell_1, \ell_2, \dots, \ell_d\} \subset \mathcal{L}$  such that  $\sigma_d(\ell_i) = \ell'$ .

Conditions (1), (2) and (3) allow generating a sibling invariant lamination from a **finite amount of initial data**.

# Full Sibling Collection ( $d = 6$ )



(Not to scale)

One of many possible sibling collections mapping to  $\overline{xy}$ .

## Definition

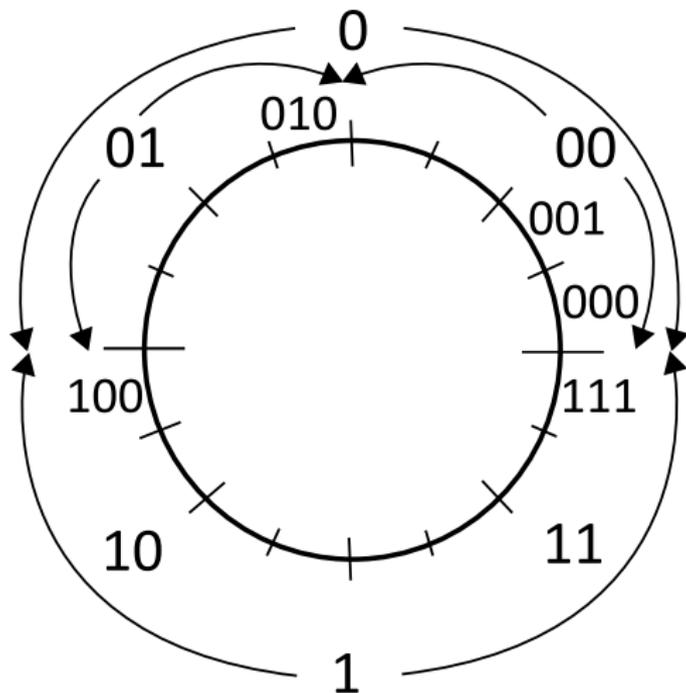
An orbit of polygons  $P_0, P_1 = \sigma_d(P_0), P_2 = \sigma(P_1), \dots$  is said to be *forward invariant* iff  $\sigma_d : P_i \mapsto P_{i+1}$  preserves the circular order of the vertices of  $P_j$ .

## Facts:

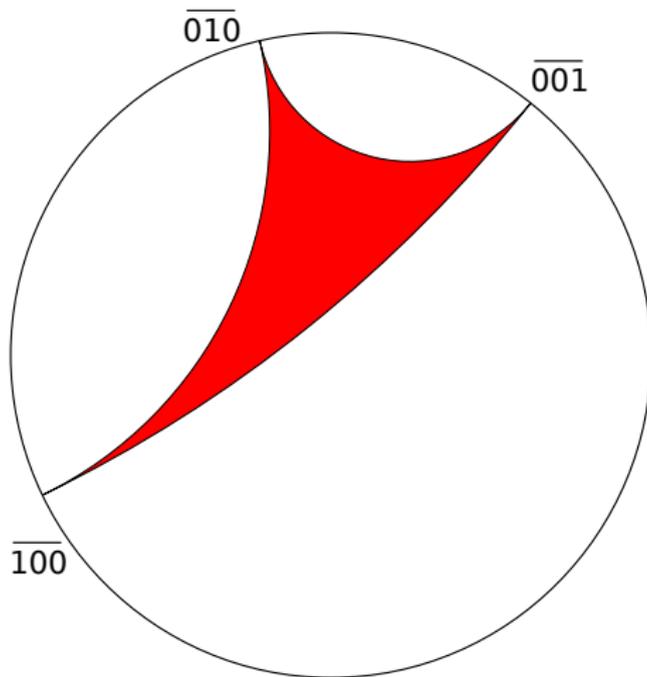
- If a finite orbit of polygons  $P_0, P_1, P_2, \dots, P_{n-1} = P_0$  is forward invariant under  $\sigma_2$ , then there always is a compatible critical chord touching the orbit at a vertex.
- If a finite orbit of polygons  $P_0, P_1, P_2, \dots, P_{n-1} = P_0$  is forward invariant under  $\sigma_3$ , then there are always two compatible critical chords touching the orbit at vertices.

(The facts can be generalized to a finite collection of finite orbits of polygons.)

# $\sigma_2$ Binary Coordinates



# Forward Invariant Triangle

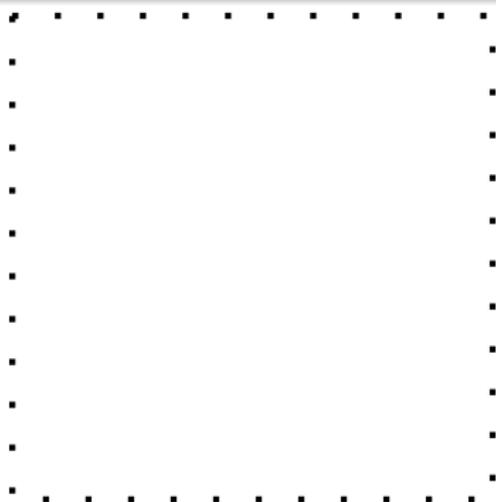
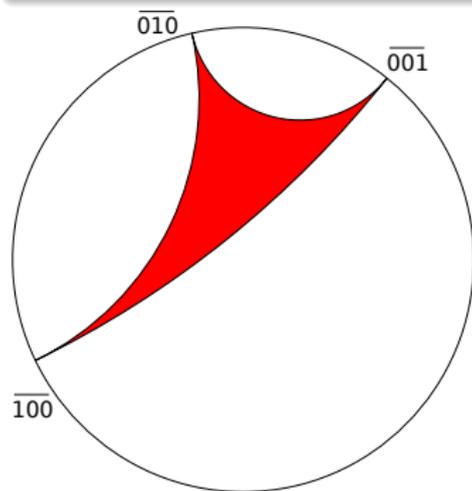


$$\sigma_2 : \overline{001} \mapsto \overline{010} \mapsto \overline{100}$$

# Pullback Scheme

## Definition (Pullback Scheme)

A *pullback scheme* for  $\sigma_d$  is a collection of  $d$  branches  $\tau_1, \tau_2, \dots, \tau_d$  of the inverse of  $\sigma_d$  whose ranges partition  $\partial\mathbb{D}$ .

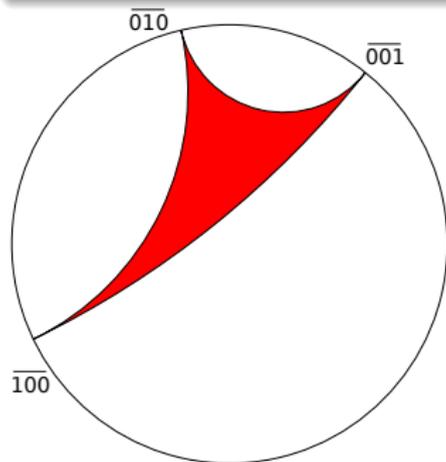


**Data:** Forward invariant lamination.

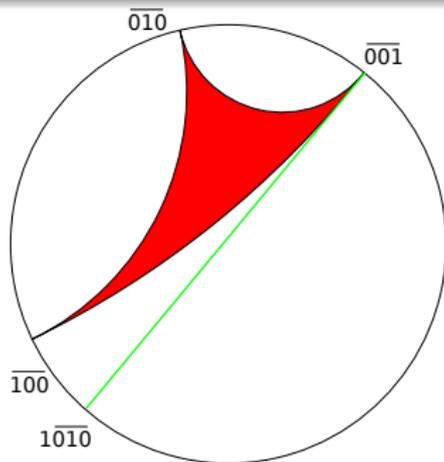
# Pullback Scheme

## Definition (Guiding Critical Chords)

The generating data of a pullback scheme are a *forward invariant periodic collection of leaves* and a collection of  $d$  interior disjoint *guiding critical chords*.

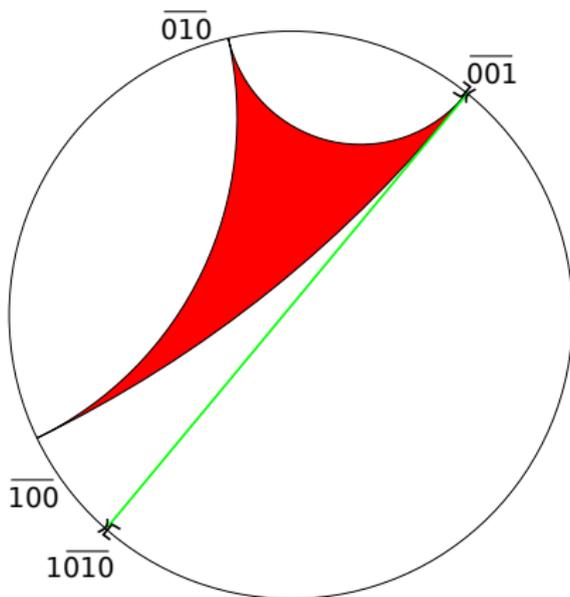


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Guiding critical chord(s).

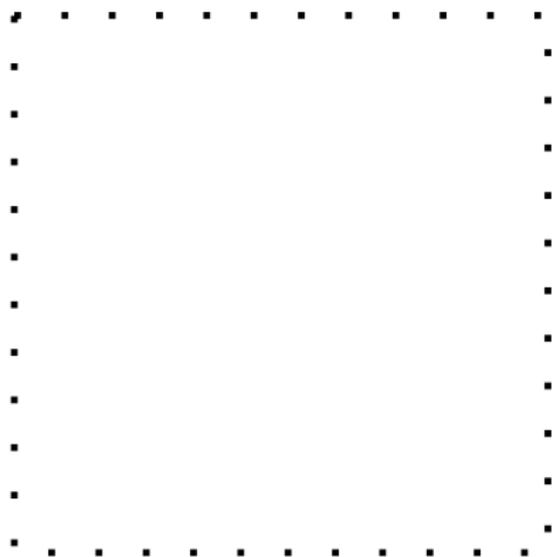
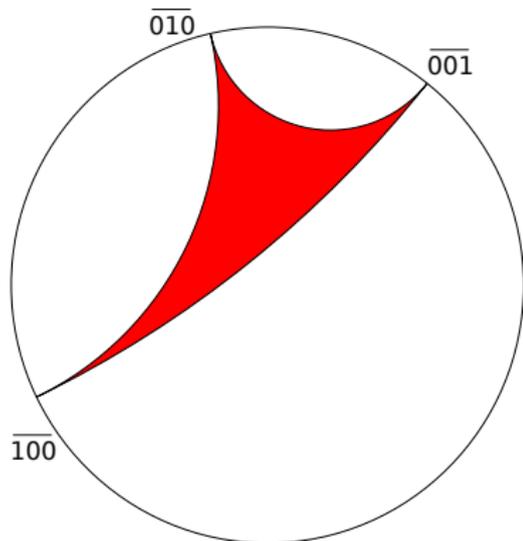
## Branches $\tau_1, \tau_2$ of Inverse of $\sigma_2$



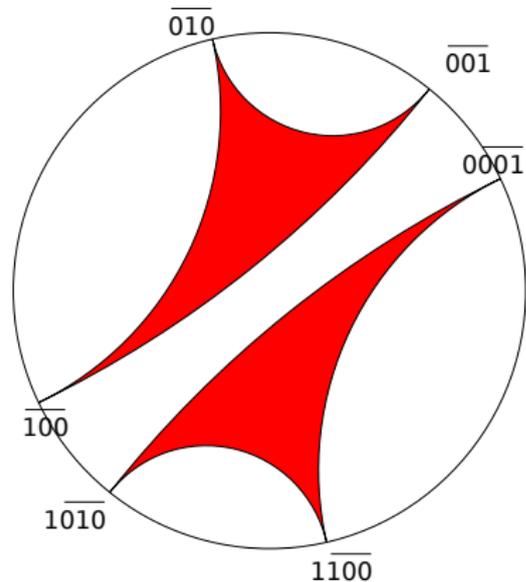
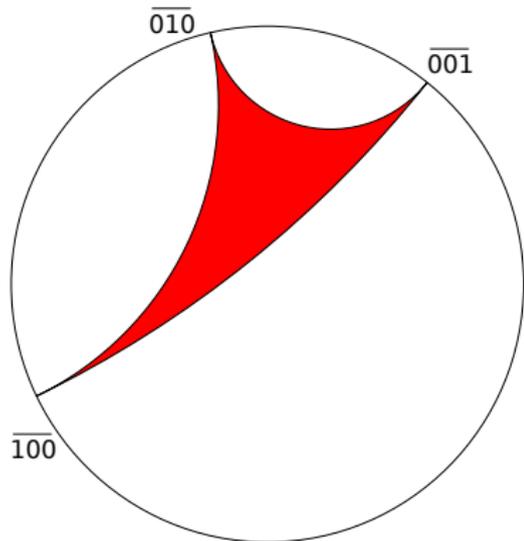
$$\tau_1 : \partial\mathbb{D} \rightarrow [\overline{001}, \overline{1010}]$$

$$\tau_2 : \partial\mathbb{D} \rightarrow [\overline{1010}, \overline{001}]$$

# Pullback Scheme

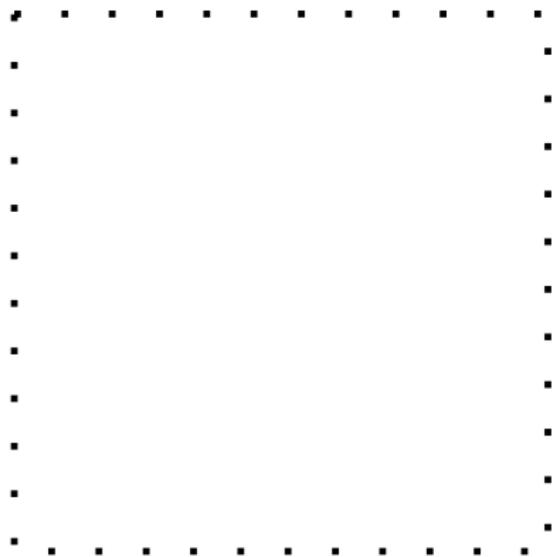
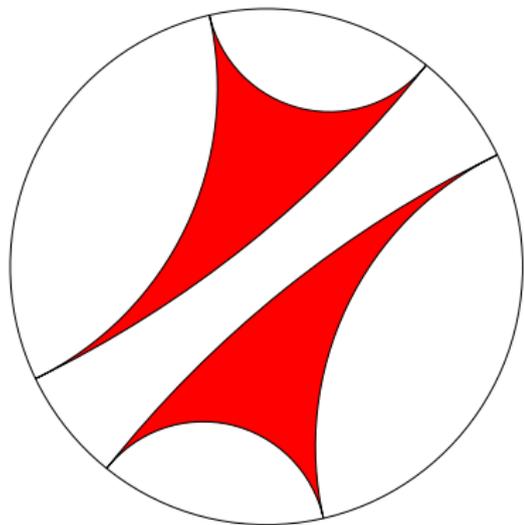


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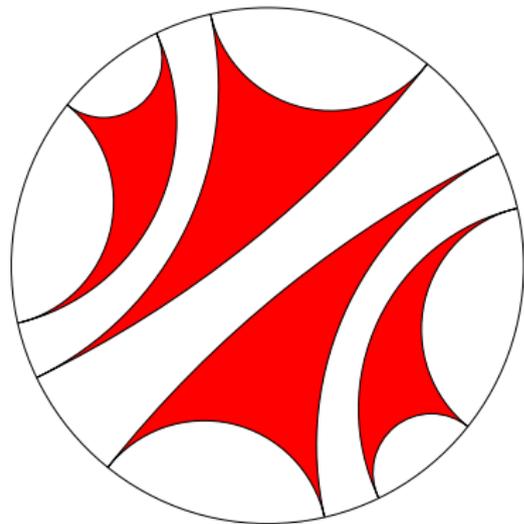
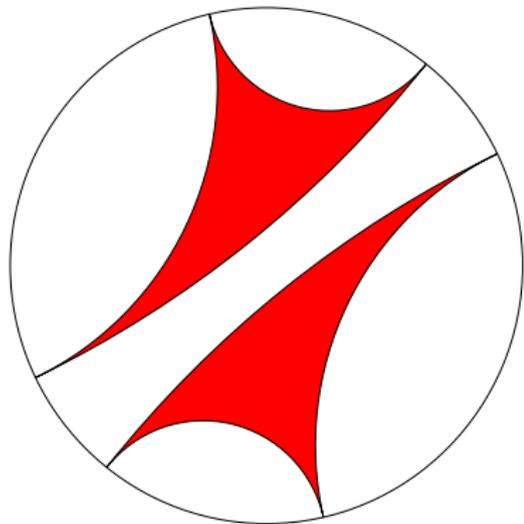


$$\sigma_2 : \overline{1010}, \overline{0010} \mapsto \overline{010}$$

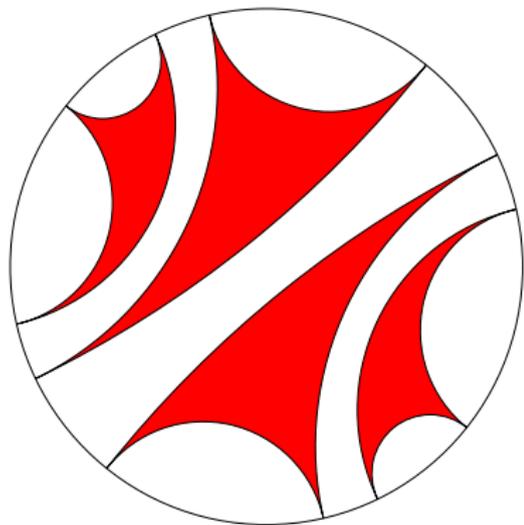
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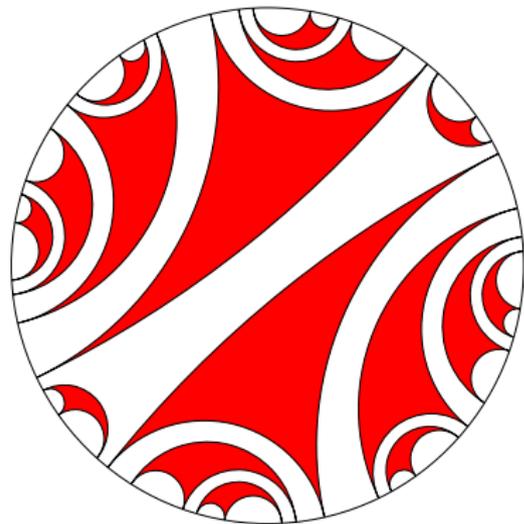
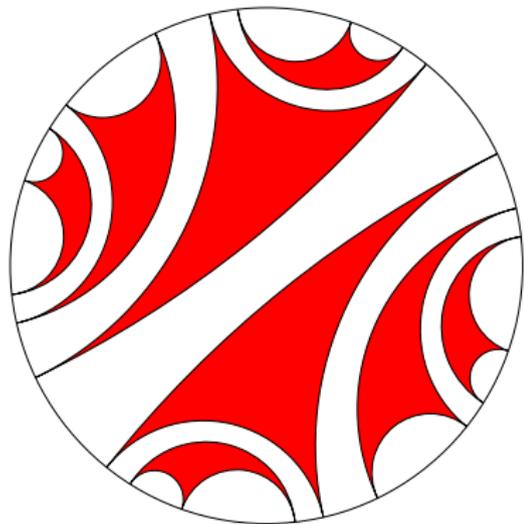
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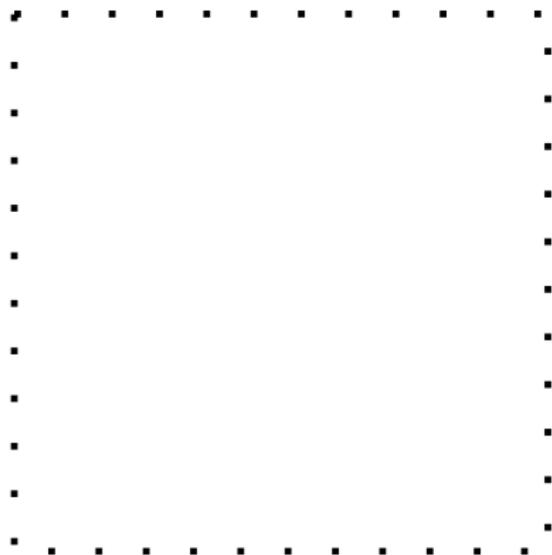
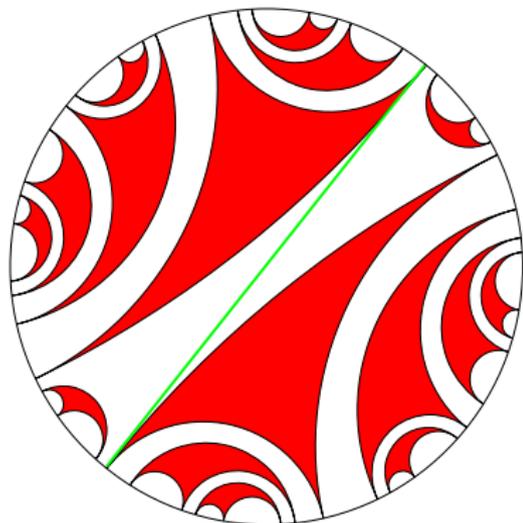
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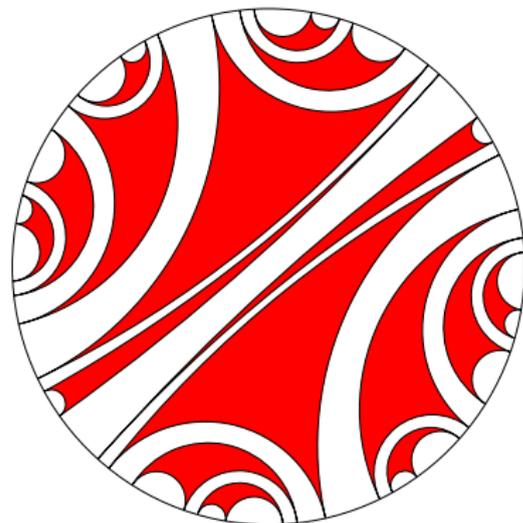
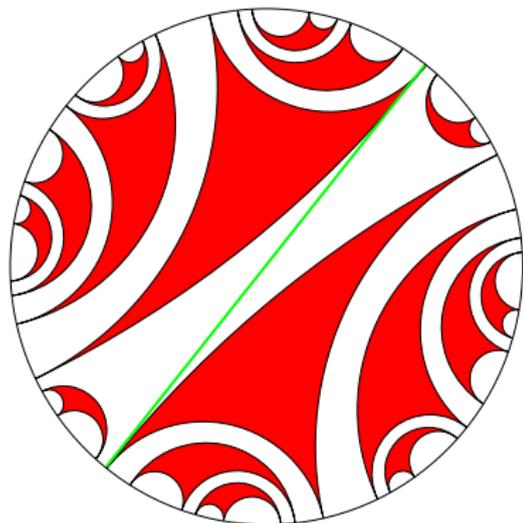
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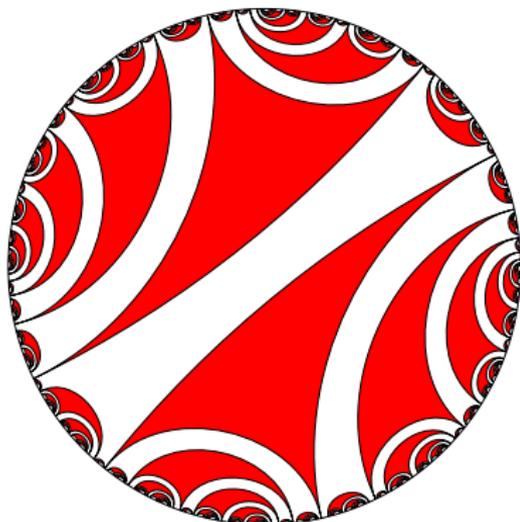


# Ambiguity

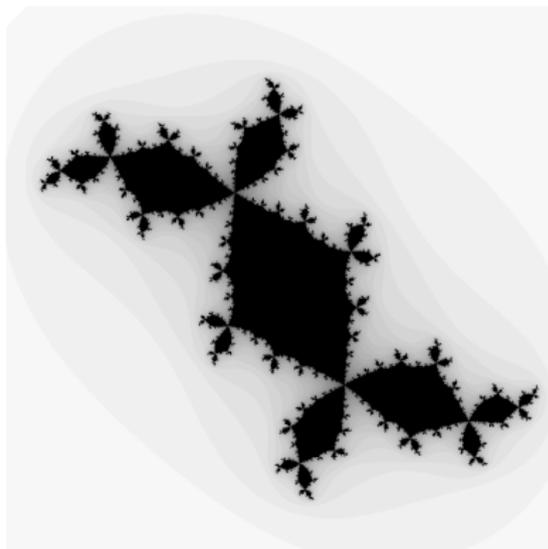


# Quadratic Lamination and Julia Set

Rabbit Lamination



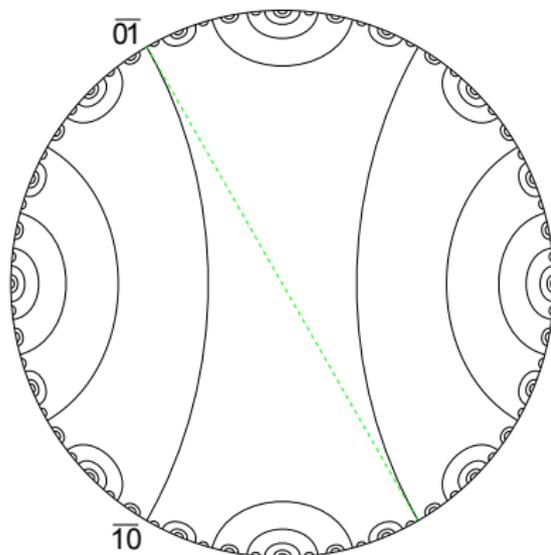
Rabbit Julia Set



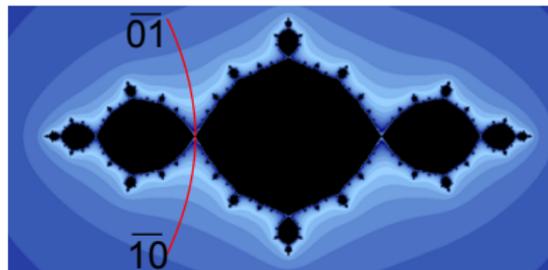
Quotient space in plane  $\implies$  homeomorphic to rabbit Julia set.

# Quadratic Lamination and Julia Set

Basillica Lamination

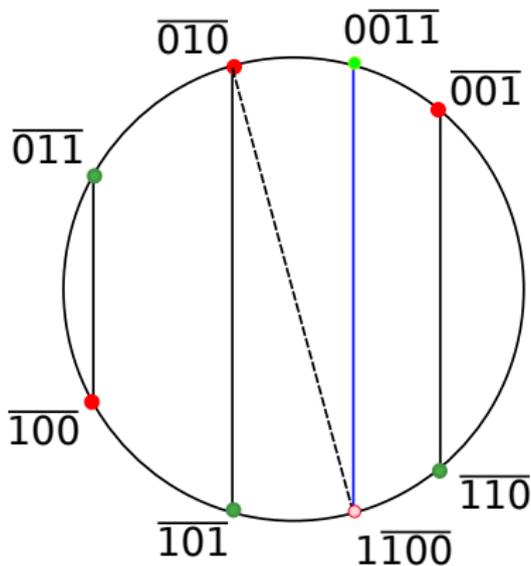


Basillica Julia Set

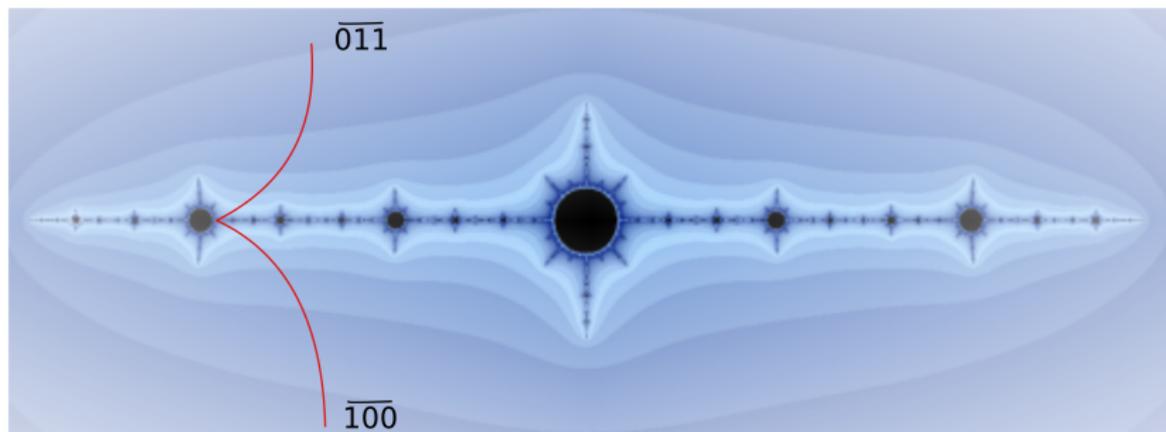


# Identity Return Leaf Orbit for $\sigma_2$

$$\sigma_2 : [\overline{011}, \overline{100}] \mapsto [\overline{110}, \overline{001}] \mapsto [\overline{101}, \overline{010}]$$

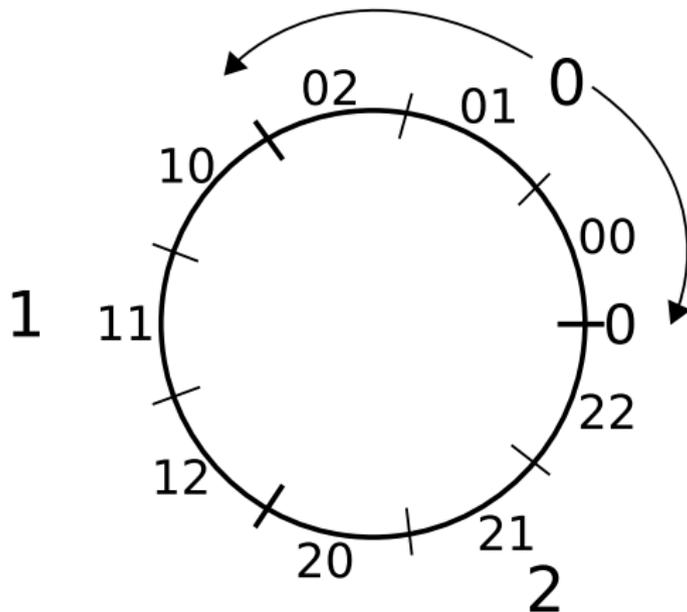


# Airplane Quadratic Julia Set



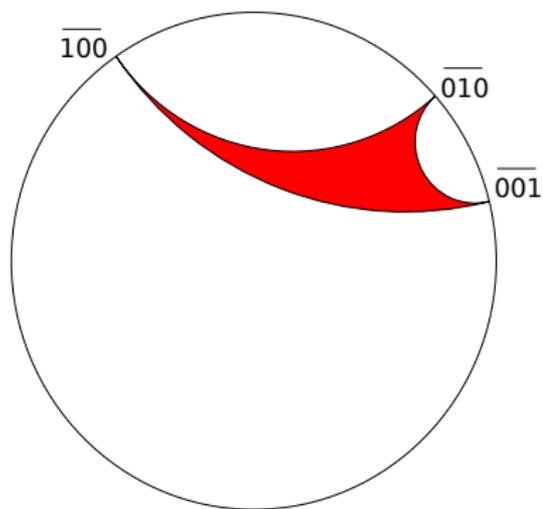
The corresponding point in the Julia set has two ray orbits landing on it.

# $\sigma_3$ ternary coordinates



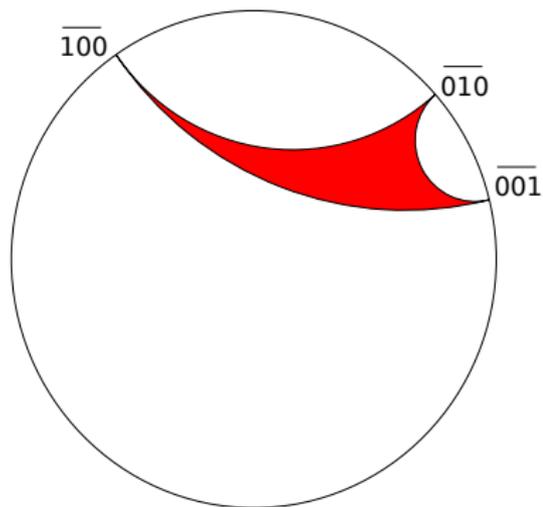
# Cubic Lamination and Julia Set

## Cubic Rabbit Triangle

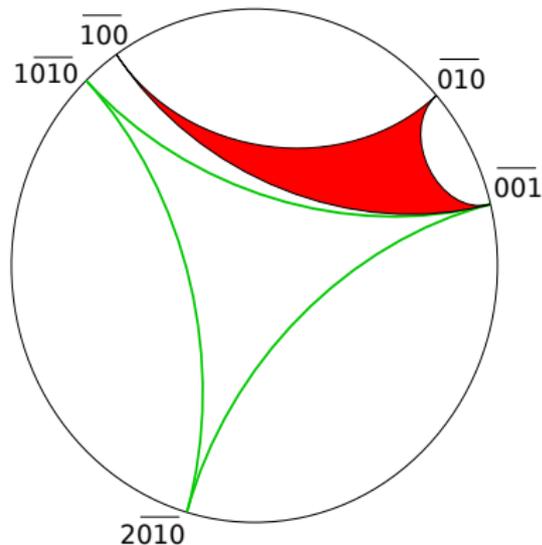


# Cubic Lamination and Julia Set

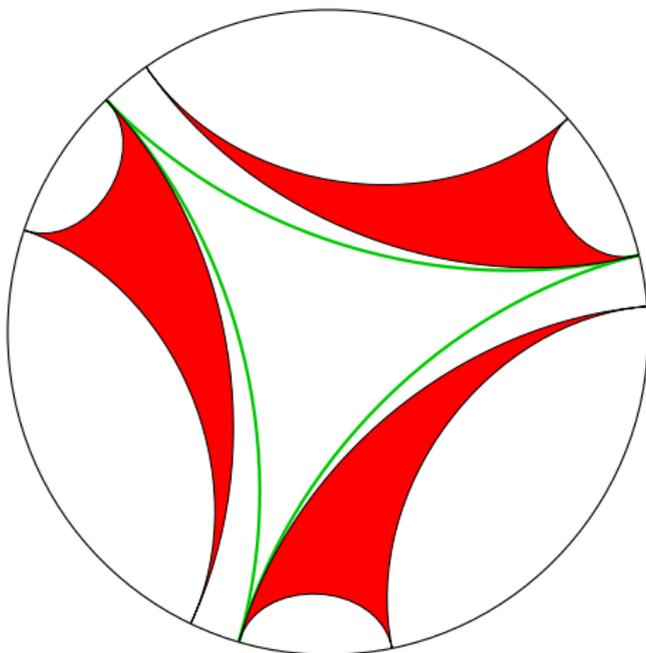
Cubic Rabbit Triangle



Guiding all-critical triangle



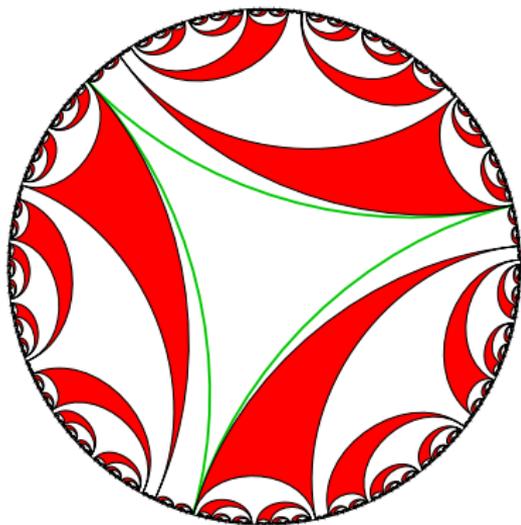
# Cubic Lamination and Julia Set



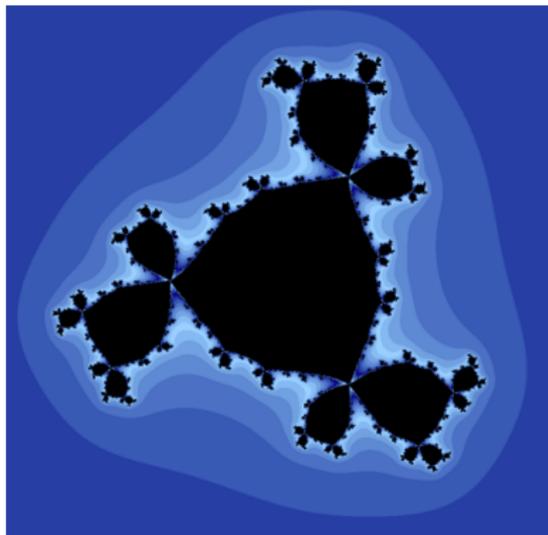
Symmetric Siblings

# Cubic Lamination and Julia Set

Cubic Rabbit Lamination

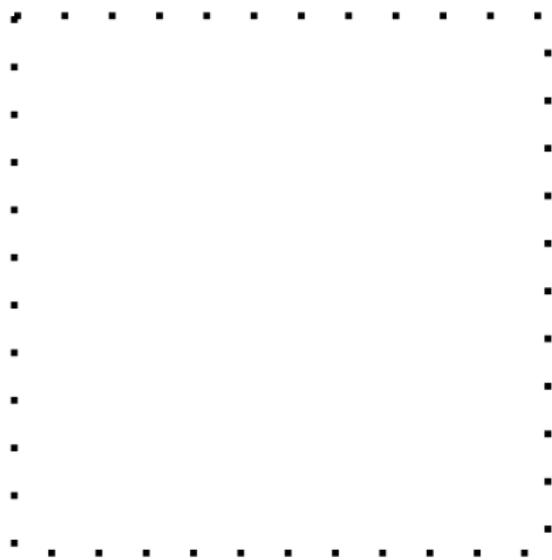
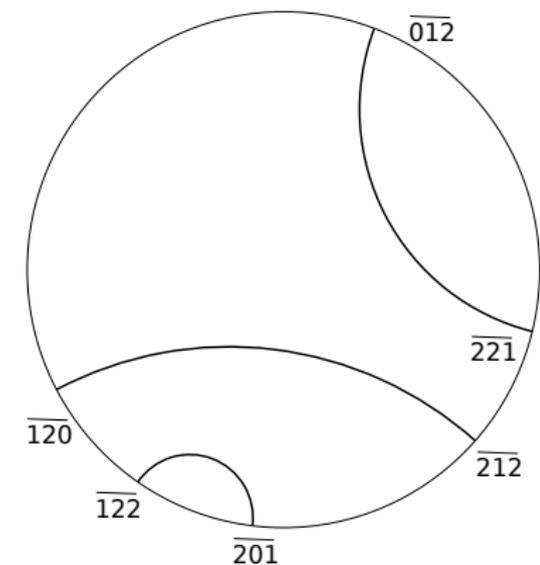


Cubic Rabbit Julia Set



# Cubic Pullback: Identity Return Leaf for $\sigma_3$

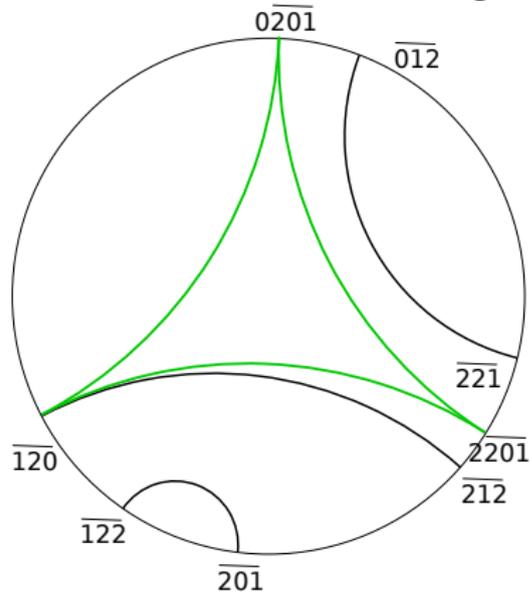
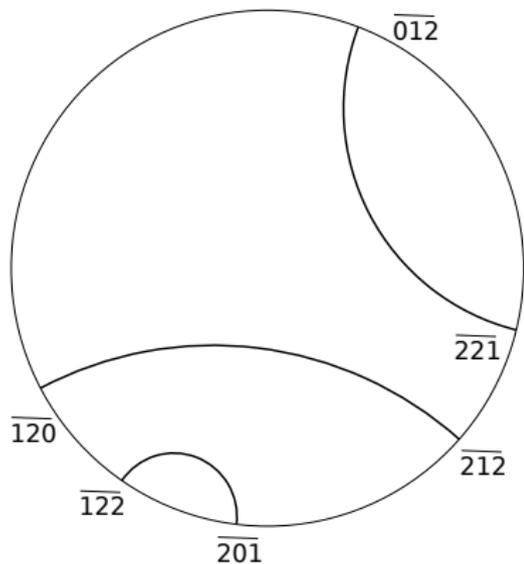
*An Identity Return Leaf for  $\sigma_3$ .*



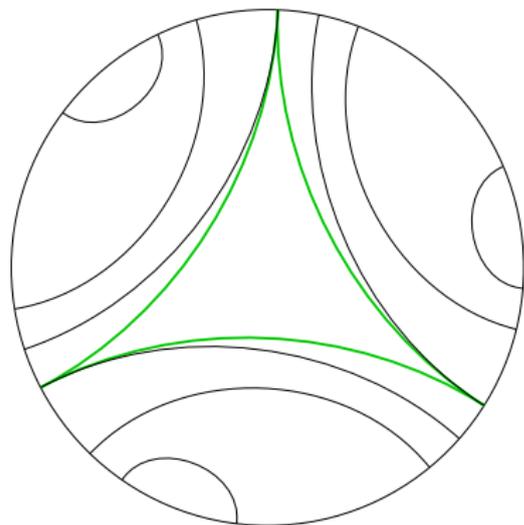
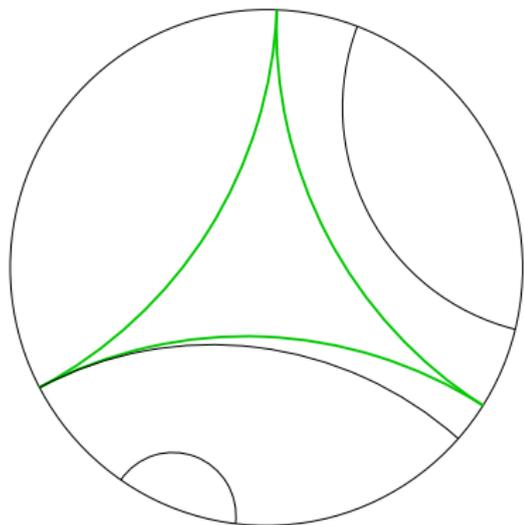
$$[\overline{120}, \overline{212}] \mapsto [\overline{201}, \overline{122}] \mapsto [\overline{012}, \overline{221}]$$

# Identity Return Leaf for $\sigma_3$

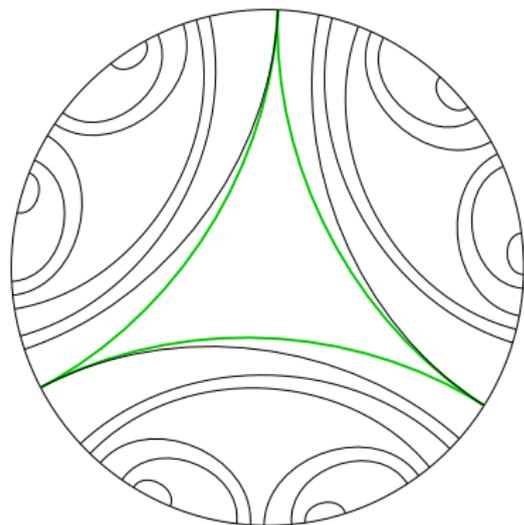
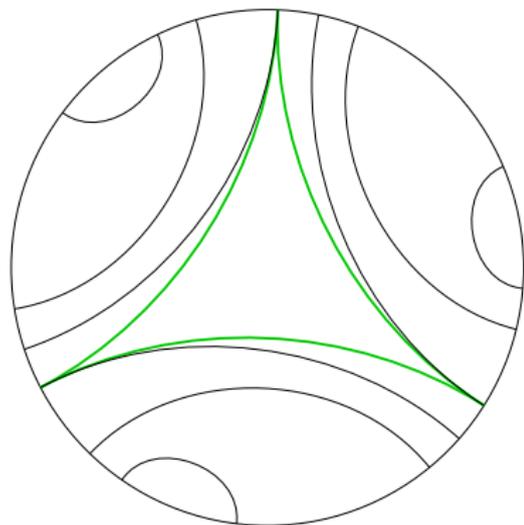
Orbit admits an all-critical triangle.



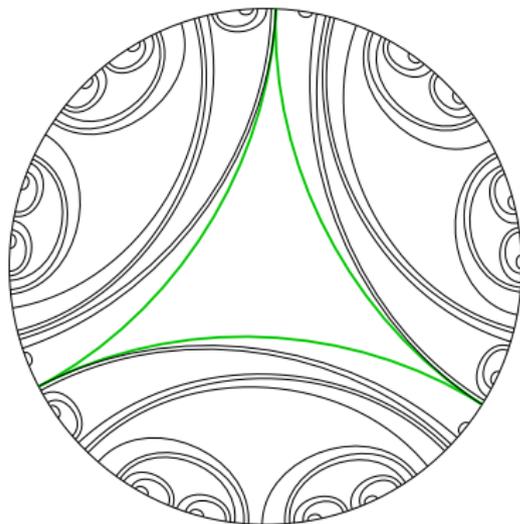
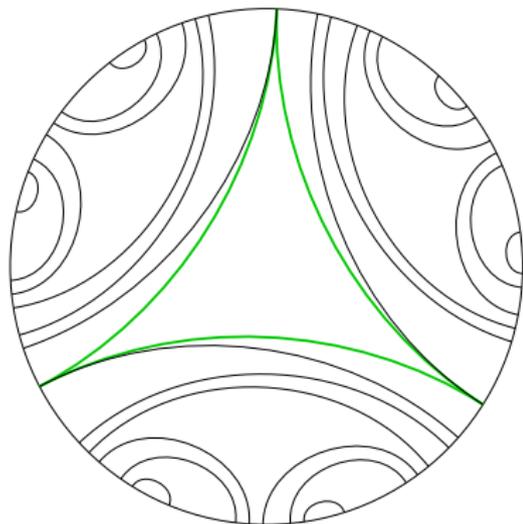
# Identity Return Leaf for $\sigma_3$



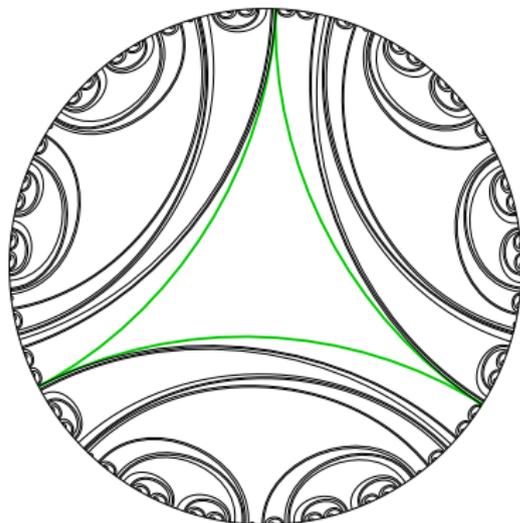
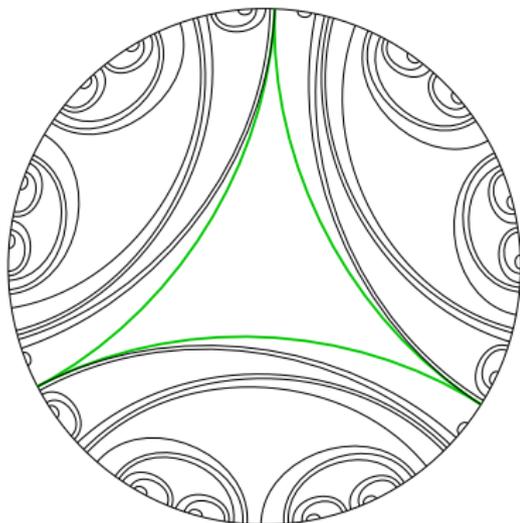
# Identity Return Leaf for $\sigma_3$



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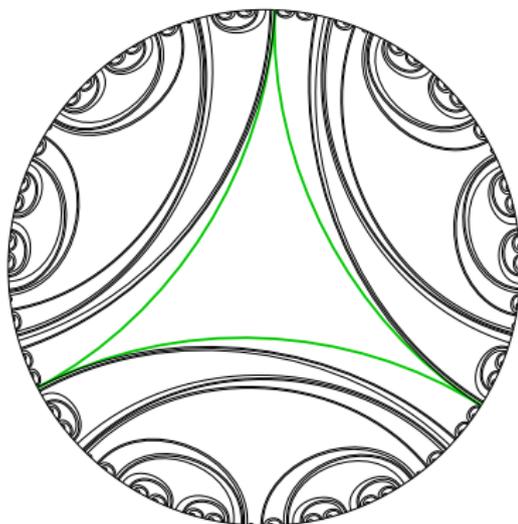


# Identity Return Leaf for $\sigma_3$

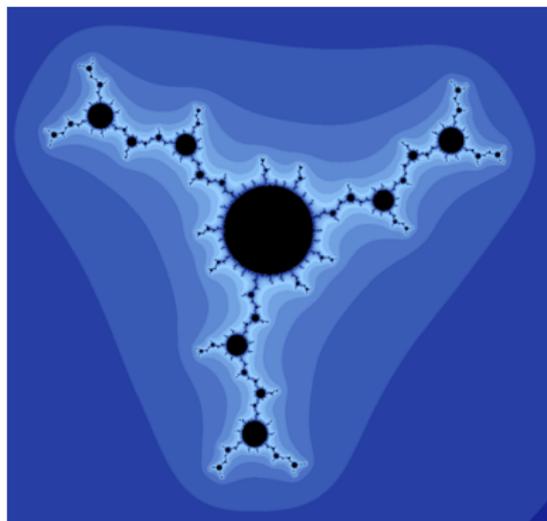


# Cubic Lamination and Julia Set

Identity Return Leaf Lamination



Helicopter Julia Set



$$z \mapsto z^3 - 0.2634 - 1.2594i$$

# Identity Return Polygons

## Definition

A polygon  $P = P_0$  is said to be *identity return* iff its *orbit*

$$\{P_0, P_1 = \sigma_d(P_0), P_2 = \sigma_d(P_1), P_3, \dots, P_n = P_0\}$$

is periodic (of least period  $n$ ) and has the properties

- 1 the polygons in the orbit are disjoint,
- 2  $\sigma_d^n|_{P_0}$  is the identity, and
- 3  $P_i$  maps to  $P_{i+1 \pmod n}$  preserving circular order.

- Each vertex is in a different orbit of period  $n$ .

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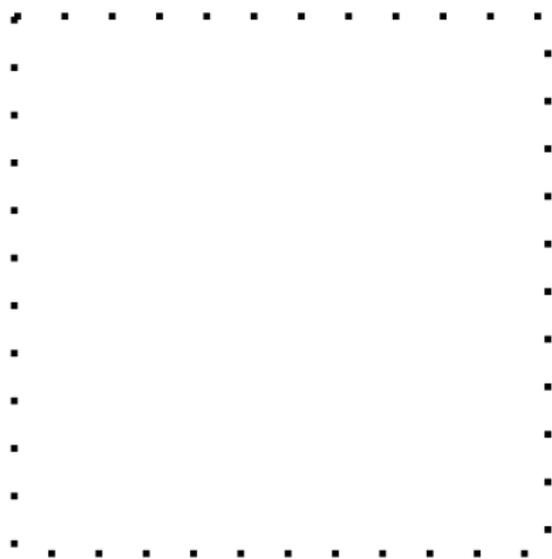
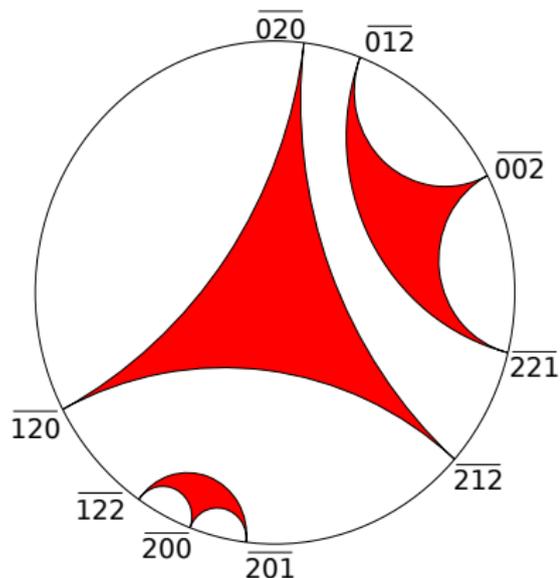
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# Cubic Pulback: Identity Return Triangle

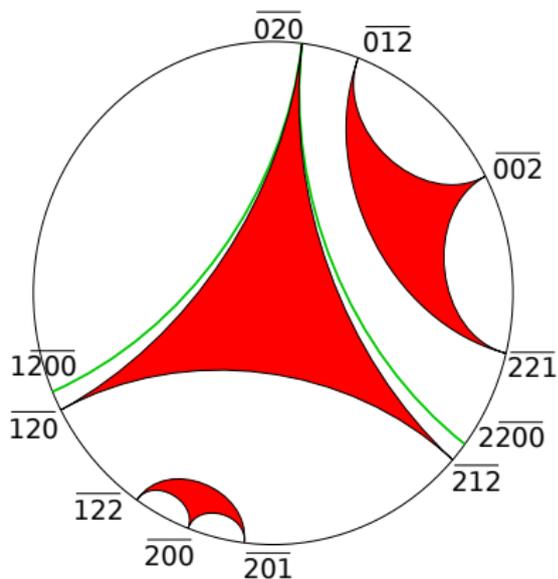
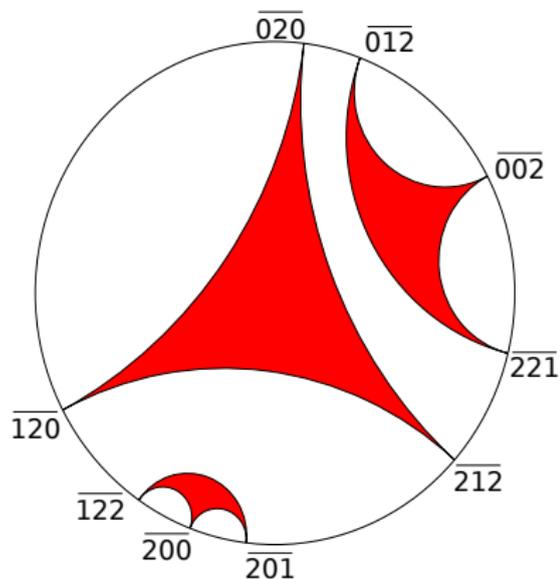
Where can one place **two** critical chords to start the pullback process?



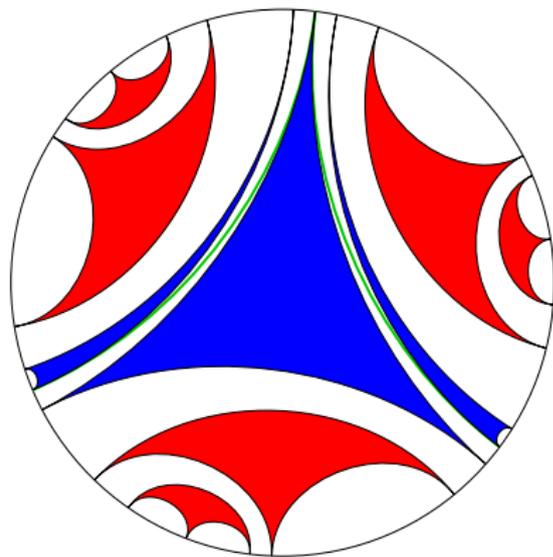
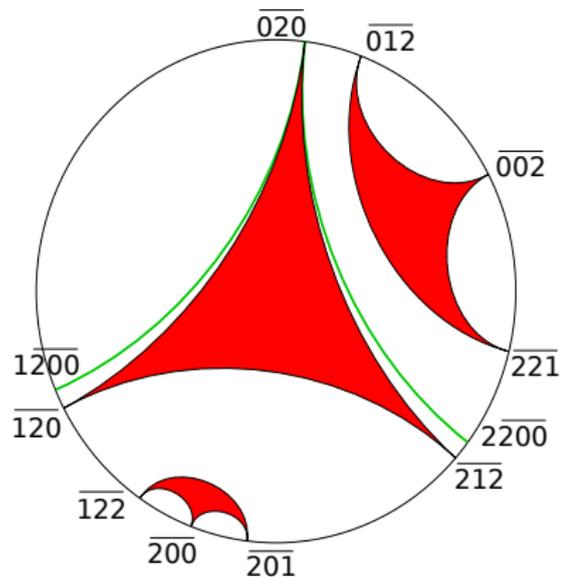
Forward invariant lamination given

# Identity Return Triangle

## Guiding critical chords

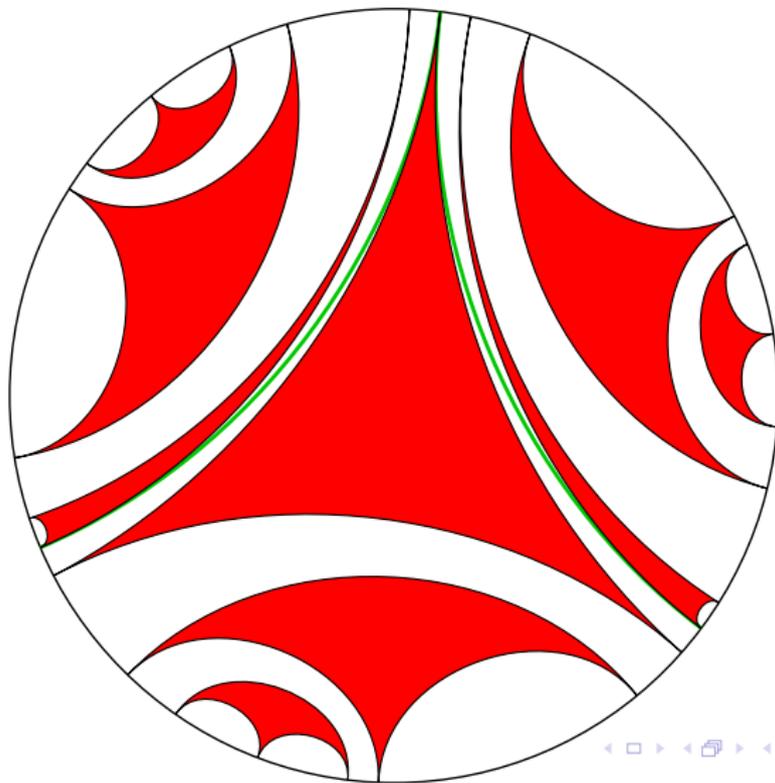


# Identity Return Triangle

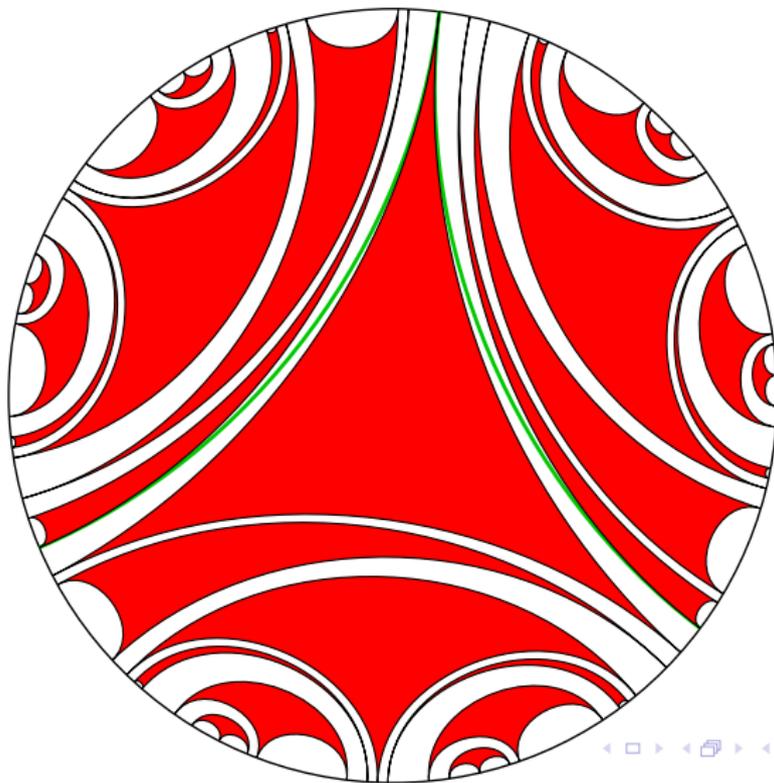


Non-symmetric siblings

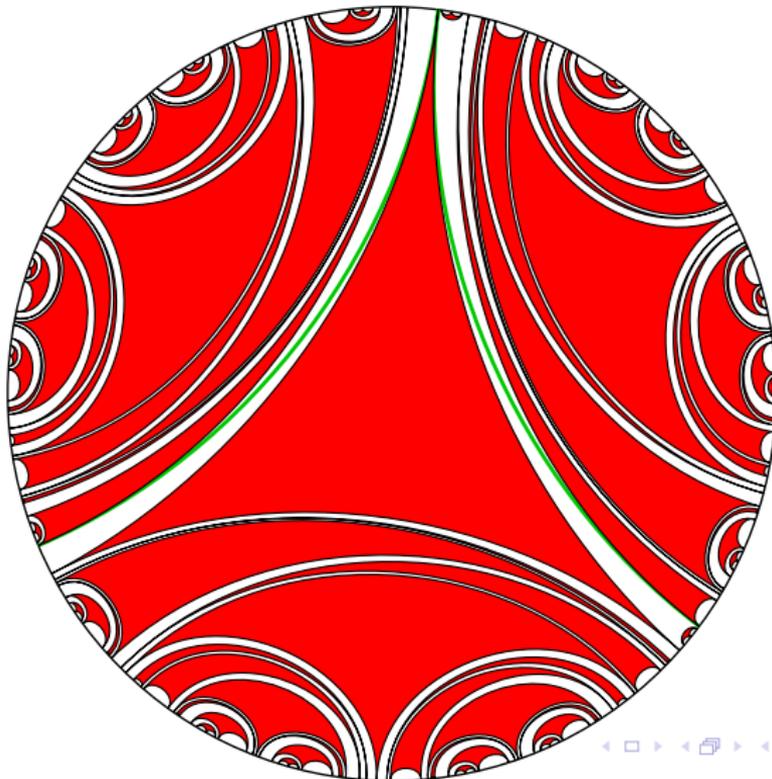
# Identity Return Triangle



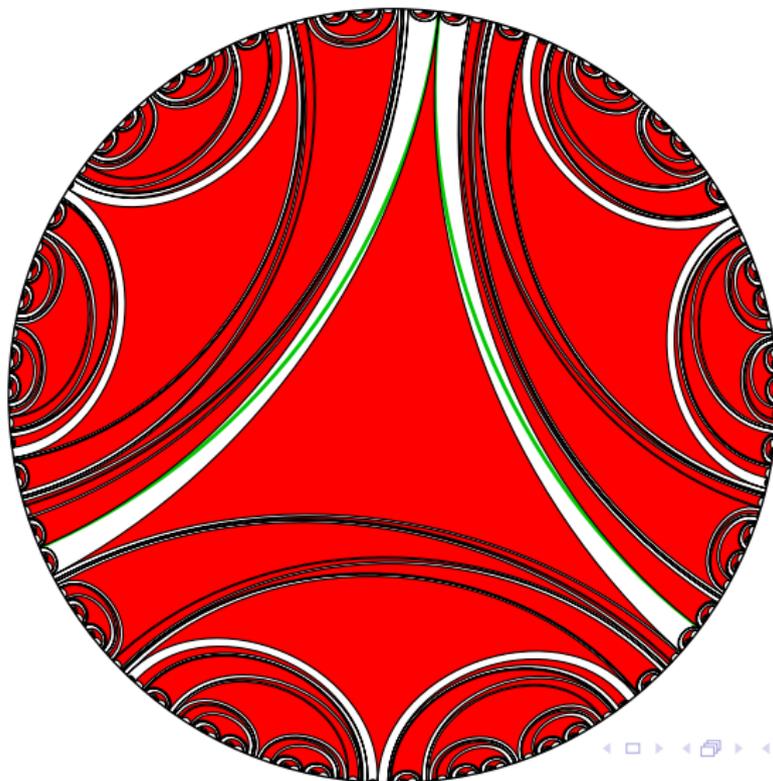
# Identity Return Triangle



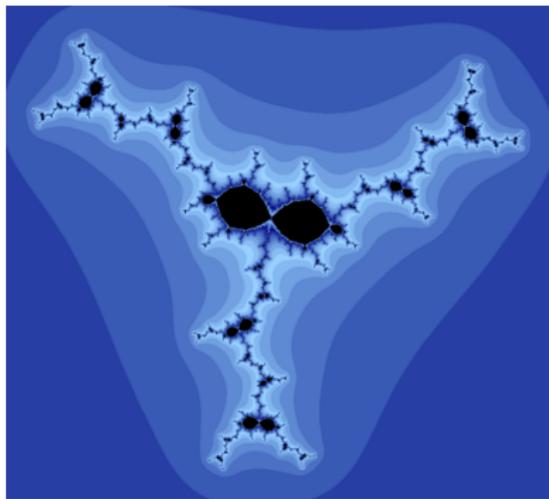
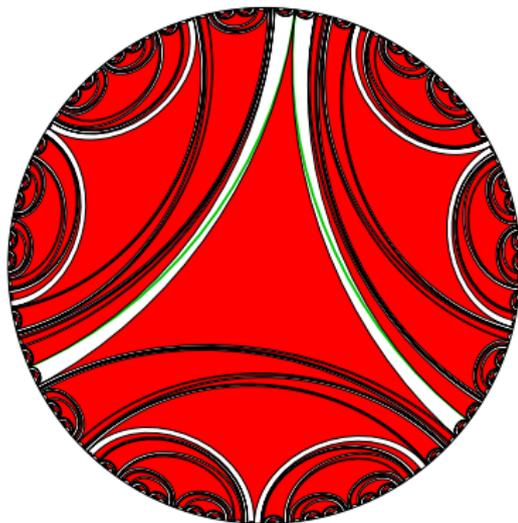
# Identity Return Triangle



# Identity Return Triangle



# Identity Return Triangle and Corresponding Julia Set

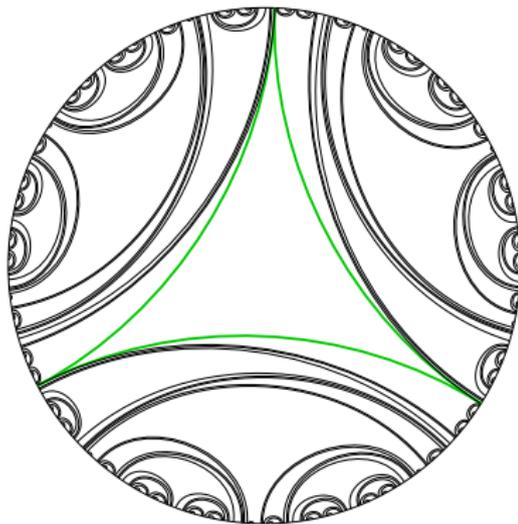


$$z \mapsto z^3 + 3fz^2 + g$$

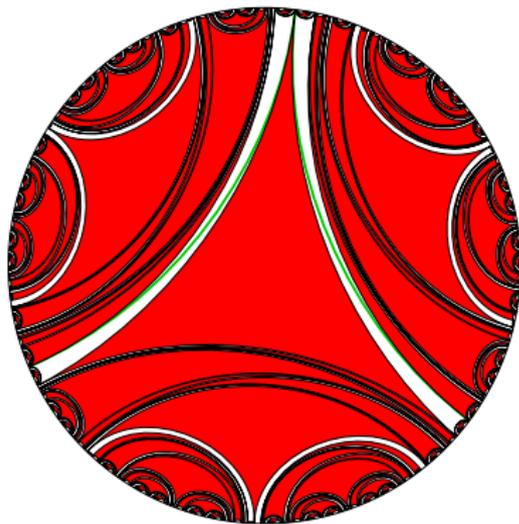
$f = -0.167026 + 0.0384441i$  and  $g = -0.0916222 - 1.2734i$

# Identity Return Leaf versus Identity Return Triangle

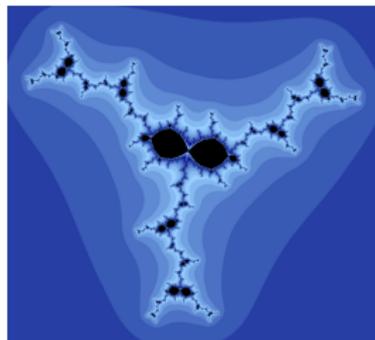
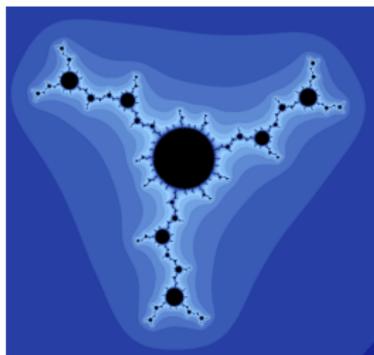
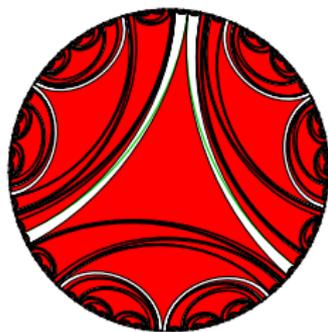
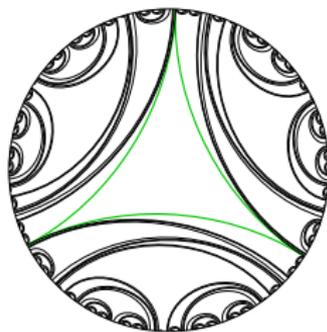
Identity Return Leaf  $[\overline{120}, \overline{212}]$



Identity Return Triangle  
with One Side  $[\overline{120}, \overline{212}]$



# Comparison



# Sampling of Questions

- 1 Under what circumstances can multiple Identity Return Polygon (IRP) orbits co-exist in an invariant lamination?
- 2 Given 3 points of a given period  $p \geq 3$ , what are the criteria for forming an Identity Return Triangle (IRT) for  $\sigma_3$ ?  
[Brandon Barry – Dissertation]
- 3 In particular, can three given period  $p$  orbits form more than one IRT? [No – CHMMO]
- 4 Given  $d \geq 2$  and a period  $p > 1$  orbit under  $\sigma_d$ , how many distinct identity return  $d$ -gon orbits can be formed?
- 5 What is the “simplest” 3-invariant lamination that contains a given IRT? [Brandon Barry – Dissertation]
- 6 Given a “simplest” IRT lamination, is there a cubic Julia set for which it is the lamination?

## References

-  Cospser, D.J., Houghton, J.K., Mayer, J.C., Mernik, L., and Olson, J.W.  
Central Strips of Sibling Leaves in Laminations of the Unit Disk  
*Topology Proceedings* 48 (2016), pp. 69–100. E-published on April 17, 2015.
-  Mayer, J.C. and Mernik, L.  
Periodic Polygons in  $d$ -Invariant Laminations of the Unit Disk  
Submitted June 2016.
-  Barry, Brandon L.  
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Dissertation, UAB, July 2015.