

Universal Flows Revisited

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Introduction

Let G be a topological group.

A G -flow is a compact space X , the *phase space*, together with a continuous *action*

$$G \times X \rightarrow X; (g, x) \mapsto gx$$

satisfying $1_G x = x$ and

$$(g_1 g_2)x = g_1(g_2 x)$$

for all $g_1, g_2 \in G$ and all $x \in X$.

A map $f : X \rightarrow Y$ between two G -flows is *equivariant* if for all $x \in X$ and all $g \in G$ we have

$$f(gx) = gf(x).$$

A G -flow Y is a *factor* of a G -flow X if there is continuous equivariant map from X onto Y .

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If \mathcal{C} is a class of G -flows, then a flow $X \in \mathcal{C}$ is *universal* (for \mathcal{C}) if every $Y \in \mathcal{C}$ is a factor of X .

A G -flow X is *minimal* if X has no proper (closed) subflow or, equivalently, if every G -orbit $Gx = \{gx : g \in G\}$ of an element x of X is dense in X .

A straight forward application of Zorn's Lemma shows that every G -flow has a minimal subflow.

Now suppose that G is discrete. Then G acts continuously on its Čech-Stone compactification βG .

Any minimal subflow of βG is a universal minimal G -flow and any two universal minimal G -flows are homeomorphic by an equivariant map.

However, a minimal subflow of βG is typically of uncountable weight and therefore not metrizable.

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This brings up the question whether there are universal objects in the class of metric minimal flows.

There are infinite topological groups for which the one-point flow is the universal minimal flow. These are the *extremely amenable* groups.

If $G = \mathbb{Z}$, then there is no universal minimal metric flow. This follows from results of Furstenberg, Foreman, and Beleznyay:

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Furstenberg's structure theorem allows it to assign an ordinal rank to every minimal *distal* metric flow, the *distal height* of the flow. This rank does not increase when taking factors. The the distal height of a minimal distal metric flow is countable.

Theorem (Foreman and Beleznyay)

Every countable ordinal is the distal height of a minimal distal metric \mathbb{Z} -flow.

It follows that there is no universal minimal distal metric \mathbb{Z} -flow. But every minimal metric flow has a maximal distal factor, which is also minimal.

Hence there is no universal minimal metric \mathbb{Z} -flow.

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Zero-dimensional flows and Stone duality

The following generalizes a theorem of Anderson.

Theorem

Let G be a discrete group and let X be a G -flow of weight κ . Then X is a factor of a zero-dimensional G -flow of weight at most $|G| + \kappa$.

If X is a 0-dimensional G -flow, then we can consider the Boolean algebra $\text{Clop}(X)$ of clopen subsets of X together with group action given by $ga = g^{-1}[a]$ for all $g \in G$ and $a \in \text{Clop}(X)$.

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If X and Y are G -flows and $h : X \rightarrow Y$ is continuous and equivariant, then $h^* : \text{Clop}(Y) \rightarrow \text{Clop}(X)$ defined by $h^*(a) = h^{-1}[a]$ for each $a \in \text{Clop}(Y)$ is an equivariant homomorphism.

h is onto iff h^* is 1-1. Hence, by our theorem on lifting G -flows to zero-dimensional G -flows, if we are interested in universal objects, instead of looking at factors of metric flows, we can study embeddings of (countable) Boolean algebras with G -actions (G -Bas).

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Definition

If A is a G -Ba and $a \in A$, then by $\langle a \rangle_G$ we denote the smallest subalgebra B of A such that $a \in B$ and B is closed under the action by G . The Boolean algebra $\langle a \rangle_G$ is the subalgebra of A generated by the G -orbit of a .

Definition

Given two G -Bas A and B and elements $a \in A$ and $b \in B$, we call the pairs (A, a) and (B, b) *isomorphic* if there is an isomorphism between the G -Bas A and B that maps a to b .

Definition

Given a G -Ba A and $a \in A$, the *type* of a is the isomorphism type of the pair $(\langle a \rangle_G, a)$.

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Given a G -Ba A and $a \in A$, the *type* of a is the isomorphism type of the pair $(\langle a \rangle_G, a)$.

If A is a G -Ba and $I \subseteq A$ is an ideal that is closed under the action, then G acts on the quotient A/I .

On the other hand, the kernel of an equivariant homomorphism from a G -Ba A to a G -Ba B is an ideal that is closed under the action.

Definition

Let $\text{Fr}(G)$ be the free Boolean algebra over the set $\{a_g : g \in G\}$ of generators. We assume that the a_g are pairwise distinct. G acts on the set of generators by letting $ha_g = a_{hg}$. This induces a G -action on $\text{Fr}(G)$.

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Lemma

Let A be a G -Ba and $a \in A$. Then there is a unique equivariant Boolean homomorphism $\pi : \text{Fr}(G) \rightarrow A$ such that $\pi(a_{1_G}) = a$.

Lemma

Let A and B be G -Bas, $a \in A$, and $b \in B$. Suppose that $A = \langle a \rangle_G$ and $B = \langle b \rangle_G$.

Let $\pi_A : \text{Fr}(G) \rightarrow A$ and $\pi_B : \text{Fr}(G) \rightarrow B$ be the unique equivariant homomorphisms with $\pi_A(a_{1_G}) = a$ and $\pi_B(b_{1_G}) = b$. Then a and b have the same type iff the ideals $\pi_A^{-1}(0)$ and $\pi_B^{-1}(0)$ are identical.

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Minimal subshifts of 2^G

Definition

On the space $\{0, 1\}^G = 2^G$ we consider the G -action (*shift action*) defined as follows:

For all $g, h \in G$ and $x \in 2^G$ let $(hx)(g) = x(h^{-1}g)$.

Note that $\text{Clop}(2^G)$ is isomorphic to $\text{Fr}(G)$ by an equivariant isomorphism.

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The goal is now to construct many types of 1-generated G -Bas by looking at *subshifts* of 2^G .

Definition

We briefly consider the case $G = \mathbb{Z}$. A *Sturmian word* is a word $x \in \{0, 1\}^{\mathbb{Z}}$ such that there are two real numbers, the *slope* α and the *intercept* ρ , with $\alpha \in [0, 1)$ irrational such that for all $i \in \mathbb{Z}$ we have

$$x(i) = 1 \quad \Leftrightarrow \quad ((\rho + i \cdot \alpha) \bmod 1) \in [0, \alpha).$$

It is well known that the orbit closure $C_x = \text{cl}\{nx : n \in \mathbb{Z}\}$ of a Sturmian word with the restriction of the shift is a minimal \mathbb{Z} -flow. Also, the slope α can be computed from every element of the orbit closure of x .

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It follows that for different irrational numbers $\alpha, \beta \in [0, 1)$, Sturmian words of slope α and β have different (even disjoint) orbit closures.

We call the orbit closure of a Sturmian word together with the induced \mathbb{Z} -action a *Sturmian subshift*.

Given a Sturmian subshift X , we denote the common slope of all Sturmian words that generate X by $\alpha(X)$.

Lemma

Let X be a Sturmian subshift and let $\pi_X : \text{Fr}(\mathbb{Z}) \rightarrow \text{Clop}(X)$ be the homomorphism dual to the embedding of X into $2^{\mathbb{Z}}$. Then $\langle \pi_X(a_0) \rangle_{\mathbb{Z}} = \text{Clop}(X)$ and the type of $\pi_X(a_0)$ determines $\alpha(X)$.

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We call the orbit closure of a Sturmian word together with the induced \mathbb{Z} -action a *Sturmian subshift*.

Given a Sturmian subshift X , we denote the common slope of all Sturmian words that generate X by $\alpha(X)$.

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Theorem

Let $G = \mathbb{Z}$. Then every G -flow X that has all Sturmian subshifts as factors is of weight at least 2^{\aleph_0} .

Proof.

Suppose X has all Sturmian subshifts as factors. We may assume that X is zero-dimensional. The Stone duals of the Sturmian subshifts all embed into $\text{Clop}(X)$. Since these Stone duals have elements of 2^{\aleph_0} different types, $\text{Clop}(X)$ has to be of size at least 2^{\aleph_0} . □

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Using a result by Gao, Jackson, and Seward, we can generalize this to all discrete, countably infinite groups.

Theorem (GJS 2009, 2016)

Let G be a discrete, countably infinite group. Then 2^G has 2^{\aleph_0} pairwise disjoint, minimal subshifts.

Corollary

For every countably infinite discrete group G , every G -flow X that has all minimal metric G -flows as factors is of weight at least 2^{\aleph_0} . In particular, there are no universal metric or minimal metric G -flows.

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Thank you for your attention!