

# Baire-one functions on topological spaces: some recent results and open questions

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- Baire-1 functions vs  $F_\sigma$ -measurable functions
- Homotopic Baire-1 class
- Fragmentability and extension property

# BAIRE-ONE FUNCTIONS vs $F_\sigma$ -MEASURABLE FUNCTIONS

## Definitions and notations

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- ◆ A function  $f : X \rightarrow Y$  is of the first Baire class,  $f \in B_1(X, Y)$ , if  $f$  is a pointwise limit of a sequence of continuous maps  $f_n : X \rightarrow Y$
  - ◆ A function  $f : X \rightarrow Y$  is  $F_\sigma$ -measurable,  $f \in \mathcal{F}_\sigma(X, Y)$ , or of the first Borel class, if for any open subset  $V$  of  $Y$  there exists a sequence of closed sets in  $X$  such that  $f^{-1}(V) = \bigcup_{n \in \omega} F_n$
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$$B_1(\mathbb{R}, \mathbb{R}) = \mathcal{F}_\sigma(\mathbb{R}, \mathbb{R})$$

# Lebesgue-Hausdorff Theorem

## Theorem (Lebesgue, Hausdorff)

$$\mathcal{F}_\sigma(X, Y) = B_1(X, Y)$$

- $X$  is a metrizable space and  $Y = [0, 1]^\omega$ , or
- $X$  is a metrizable separable space with  $\dim X = 0$  and  $Y$  is a metrizable separable space.

# The case of connected $Y$

## The case of connected $Y$

### Theorem (Fosgerau, Veselý, 1993)

For a Polish space  $Y$  the following conditions are equivalent:

- 1  $Y$  is connected and locally path-connected,
- 2  $\mathcal{F}_\sigma(X, Y) = B_1(X, Y)$  for any perfectly normal  $X$ ,
- 3  $\mathcal{F}_\sigma([0, 1], Y) = B_1([0, 1], Y)$ .

## The case of disconnected $Y$ . Necessary condition

- ◆  $f : X \rightarrow Y$  is functionally  $F_\sigma$ -measurable,  $f \in \mathcal{F}_\sigma^*(X, Y)$ , if for any open set  $V \subseteq Y$  there exists a sequence  $(F_n)_{n \in \omega}$  of zero-sets in  $X$  such that  $f^{-1}(V) = \bigcup_{n \in \omega} F_n$

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- ◆  $F_\sigma^*(X, Y) \subseteq F_\sigma(X, Y)$  and  $F_\sigma(X, Y) = \mathcal{F}_\sigma^*(X, Y)$  for any normal space  $X$

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Let  $X$  be a topological space,  $Y$  is disconnected space such that  $\mathcal{F}_\sigma^*(X, Y) \subseteq B_1(X, Y)$ . Then every zero-set  $F \subseteq X$  can be written as

$$F = \bigcup_{k \in \omega} \bigcap_{n \in \omega} U_{kn},$$

where  $(U_{kn})$  is a clopen set in  $X$  for all  $k, n \in \omega$ .

## Almost strongly zero-dimensional space

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strongly zero-dim  $\Rightarrow$  zero-dim  $\Rightarrow$  AZD  $\Rightarrow$  totally disconnected

## Almost strongly zero-dimensional space

◆ We say that a set  $A$  is a  $C_\sigma$ -set if

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**Definition**

A completely regular space  $X$  is called *almost strongly zero-dimensional* (ASZD) if every zero-set  $F \subseteq X$  is  $C_\sigma$ .

# $Y$ is metrizable and separable

## Theorem (K., 2017)

If  $X$  is a completely regular space and  $Y$  is a disconnected metrizable separable space, then the following conditions are equivalent:

- 1  $X$  is almost strongly zero-dimensional;
- 2  $\mathcal{F}_\sigma^*(X, Y) = B_1(X, Y)$ .

## $Y$ is metrizable

- ◆ A family  $\mathcal{A} = (A_i : i \in I)$  of subsets of a topological space  $X$  is called *strongly functionally discrete*, if there exists a discrete family  $(U_i : i \in I)$  of cozero subsets of  $X$  such that  $\overline{A_i} \subseteq U_i$  for every  $i \in I$ .
- ◆ A family  $\mathcal{B}$  of sets of a topological space  $X$  is called a *base* for a map  $f : X \rightarrow Y$  if the preimage  $f^{-1}(V)$  of an arbitrary open set  $V$  in  $Y$  is a union of sets from  $\mathcal{B}$ .
- ◆ If  $\mathcal{B}$  is a countable union of strongly functionally discrete families, we say that  $f$  is  $\sigma$ -strongly functionally discrete,  $f \in \Sigma^s(X, Y)$ .

If  $Y$  is metrizable and separable space, then

every function  $f : X \rightarrow Y$  is  $\sigma$ -strongly functionally discrete.

# $Y$ is metrizable

## Theorem (K., 2017)

If  $X$  is a completely regular space with  $\dim X = 0$  and  $Y$  is a metrizable space, then

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## A question

Do there exist a completely regular (metrizable separable) almost strongly zero-dimensional space  $X$  with  $\dim X > 0$ ?

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# Properties of ASZD spaces

## Theorem (K., 2022)

- ①  $\dim X = 0 \Rightarrow X$  is ASZD  $\Rightarrow X$  is totally separated
- ② If  $X$  is countably compact or  $X$  is a continuous image of a Polish space, then  $X$  is ASZD  $\Leftrightarrow \dim X = 0$ .
- ③ If  $X$  is a perfectly normal with  $\dim X = 0$  and  $\varphi : X \rightarrow \mathbb{R}$  is piecewise continuous. Then the graph  $\Gamma_\varphi \subseteq X \times \mathbb{R}$  is ASZD.

# $Y$ is not metrizable

## Theorem (W. Rudin, 1981)

If  $X$  is a metrizable space,  $Y$  is a topological space and  $Z$  is a locally convex space, then

$$CB_{\alpha}(X \times Y, Z) \subseteq B_{\alpha+1}(X \times Y, Z).$$

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## Question (O. Sobchuk and V. Mykhaylyuk, 1995)

Is every function  $f \in CB_1([0, 1] \times [0, 1], [0, 1])$  a pointwise limit of separately continuous functions  $f_n : [0, 1] \times [0, 1] \rightarrow [0, 1]$ ?

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Question (T. Banach)

$$\mathcal{F}_\sigma([0, 1], C_p([0, 1])) = B_1([0, 1], C_p([0, 1])) ?$$

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$$\mathcal{F}_\sigma([0, 1], C_p([0, 1])) = B_1([0, 1], C_p([0, 1])) ?$$

$$\mathcal{F}_\sigma([0, 1], C_p([0, 1])) \subset B_2([0, 1], C_p([0, 1]))$$

## HOMOTOPIC BAIRE-1 CLASS

## An equivalent definition of the first Baire class

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### Definition

We say that  $f \in B_1(X, Y)$  if there exists a continuous map  $H : X \times \omega \rightarrow Y$  such that  $f(x) = \lim_{n \rightarrow \infty} H(x, n)$  for every  $x \in X$ .

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# The first homotopic Baire class

## Definition

We say that  $f \in \text{hB}_1(X, Y)$  if there exists a continuous map  $H : X \times [0, +\infty) \rightarrow Y$  such that  $f(x) = \lim_{n \rightarrow \infty} H(x, n)$  for every  $x \in X$ .

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If  $Y$  is contractible, then  $\text{B}_1(X, Y) = \text{hB}_1(X, Y)$ .

## The first homotopic Baire class

Question (S. Maksymenko).

Let  $S^1$  be the unit circle in  $\mathbb{C}$ . Is it true that  $B_1(S^1, S^1) = hB_1(S^1, S^1)$ ?

# The first homotopic Baire class

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General problem

To describe classes of spaces  $X$  and  $Y$  such that  $B_1(X, Y) = \text{h}B_1(X, Y)$ .

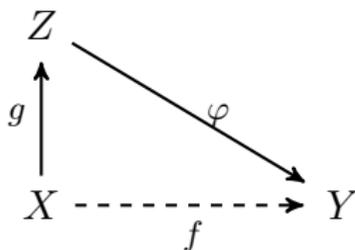
## $B_1$ -Lifting property

A continuous map  $f : X \rightarrow Y$  is a **weak local homeomorphism** if  $\forall y \in Y$   
 $\exists V \ni y, U \subseteq X$  such that  $f|_U : U \rightarrow V$  is a homeomorphism.

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Assume that  $X, Y$  and  $Z$  are topological spaces and  $\varphi : Z \rightarrow Y$  is a weak local homeomorphism. We say that the triple  $(X, Y, Z)$  has  **$\mathcal{P}$ -Lifting Property** whenever for all  $f \in \mathcal{P}(X, Y)$  there exists  $g \in \mathcal{P}(X, Z)$  such that  $f = \varphi \circ g$ .



## Results and questions

### Lifting Theorem for $B_1$ -functions (K. and Maksymenko, 2020)

Let  $X, Y, Z$  be topological spaces and  $Y$  is a paracompact space weakly covered by a metrizable path-connected and locally path-connected space  $Z$ . Then  $(X, Y, Z)$  has  $B_1$ -Lifting Property.

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### Theorem (K. and Maksymenko, 2020)

Any open path-connected subset of a normed space is weakly covered by a contractible and locally contractible metrizable space.

## Results and questions

### Theorem (K. and Maksymenko, 2020)

Let  $X$  be a topological space and  $Y$  be a path-connected metrizable ANR. Then

$$B_1(X, Y) = hB_1(X, Y).$$

# Results and questions

## Question 1

Do there exist a path-connected subset  $X \subseteq \mathbb{R}^2$  such that  $B_1(X, X) \neq \text{h}B_1(X, X)$ ?

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$\Downarrow$

$f$  is a uniform limit of a sequence of  $f_n \in \text{h}B_1(X, X)$

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## Question 2

Assume that  $X \subseteq \mathbb{R}^2$  is a path-connected space. Is it true that  $\text{h}B_1(X, X)$  is closed under uniform limits?

# FRAGMENTABILITY

## Definition

Let  $X$  be a topological space,  $(Y, d)$  be a metric space and  $\varepsilon > 0$ .

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A function  $f : X \rightarrow Y$  is *fragmented*, if for every  $\varepsilon > 0$  it is  $\varepsilon$ -*fragmented*, i.e. there exists a sequence  $\mathcal{U} = (U_\xi : \xi \in [0, \alpha))$  in  $X$  of open sets such that

- $\text{diam} f(U_{\xi+1} \setminus U_\xi) < \varepsilon$  for all  $\xi \in [0, \alpha)$ ;
- $\emptyset = U_0 \subset U_1 \subset U_2 \subset \dots$ ;
- $U_\gamma = \bigcup_{\xi < \gamma} U_\xi$  for every limit ordinal  $\gamma \in [0, \alpha)$ .

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We call  $\alpha$  an *index of  $\varepsilon$ -fragmentability of  $f$* .

### Theorem (Jayne, Orihuela, Pallarés and Vera, 1992)

Let  $X$  be a perfectly paracompact hereditarily Baire space,  $Y$  be a convex subset of a Banach space. The following are equivalent:

- $f$  is fragmented;
- $f$  is of the first Baire class.

# Functionally fragmented maps

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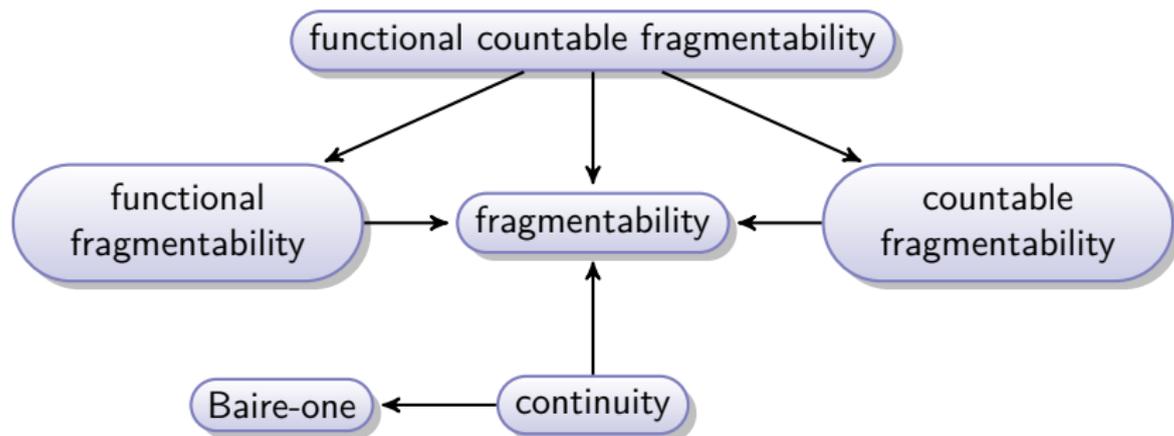
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An  $\varepsilon$ -fragmented map  $f : X \rightarrow Y$  is

- ◆ *functionally  $\varepsilon$ -fragmented* if every  $U_\xi$  is a cozero set in  $X$ ;
- ◆ *functionally  $\varepsilon$ -countably fragmented* if  $\mathcal{U}$  can be chosen to be countable;
- ◆ *functionally countably fragmented* if  $f$  is functionally  $\varepsilon$ -countably fragmented for all  $\varepsilon > 0$ .

# Functionally fragmented maps



## Relations between different types of fragmentability

- ① Let  $X$  be a topological space,  $(Y, d)$  be a metric space,  $\varepsilon > 0$  and  $f : X \rightarrow Y$  be a map. If one of the following conditions holds
- $Y$  is separable and  $f$  is continuous,
  - $X$  is hereditarily Lindelöf and  $f$  is fragmented,
  - $X$  is compact and  $f \in B_1(X, Y)$ ,
  - $X$  is Lindelöf,  $f \in B_1(X, Y)$  and fragmented,
  - $X$  is Lindelöf,  $f$  is functionally fragmented,
- then  $f$  is functionally countably fragmented.

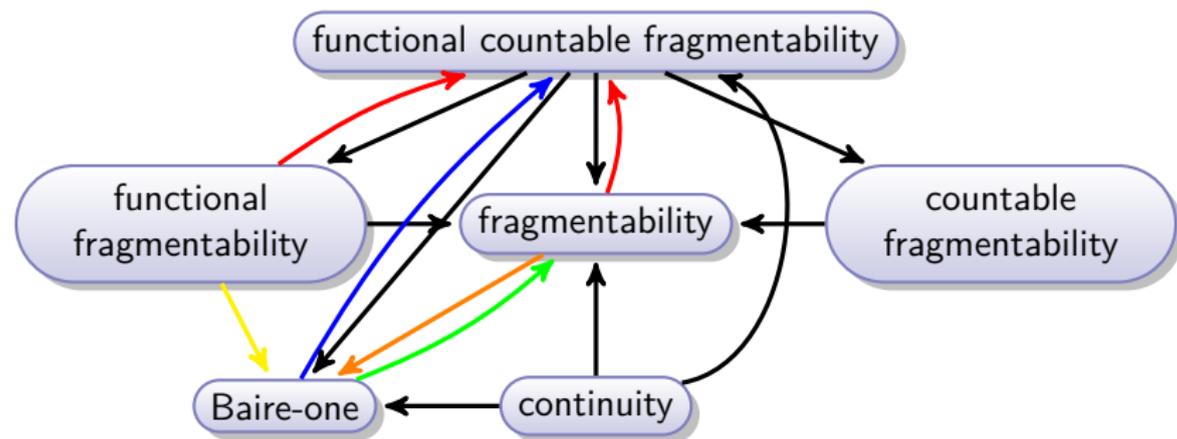
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- ② If one of the following conditions holds
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# Relations between different types of fragmentability

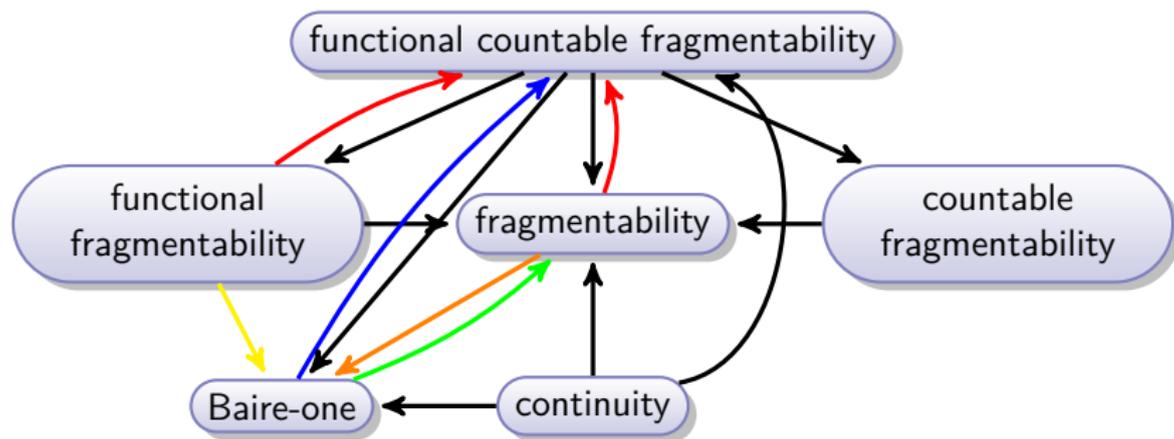
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  - $X$  is hereditarily Lindelöf and  $f$  is fragmented,
  - $X$  is compact and  $f \in B_1(X, Y)$ ,
  - $X$  is Lindelöf,  $f \in B_1(X, Y)$  and fragmented,
  - $X$  is Lindelöf,  $f$  is functionally fragmented,then  $f$  is functionally countably fragmented.
- 2 If one of the following conditions holds
  - $f$  is functionally countably fragmented,
  - $X$  is perfectly paracompact and  $f$  is fragmented,
  - $X$  is paracompact and  $f$  is functionally fragmented,then  $f \in B_1(X, \mathbb{R})$ .
- 3 If  $X$  is hereditarily Baire and  $f \in B_1(X, \mathbb{R})$ , then  $f$  is fragmented.

## Further relations ( $Y = \mathbb{R}$ )



- $X$  is compact
- $X$  is Lindelöf
- $X$  is perfectly paracompact
- $X$  is hereditarily Baire
- $X$  is paracompact

## Further relations ( $Y = \mathbb{R}$ )



- $X$  is compact
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- $X$  is perfectly paracompact
- $X$  is hereditarily Baire
- $X$  is paracompact

### Question

Let  $X$  be paracompact,  $f : X \rightarrow \mathbb{R}$  be fragmented and  $f \in B_1$ . Is  $f$  functionally fragmented?

# Application of fragmentability to extension of $B_1$ -functions

## Theorem (O. Kalenda and J. Spurný, 2005)

Let  $E$  be a Lindelöf subspace of a completely regular space  $X$  and  $f : E \rightarrow \mathbb{R}$  be a Baire-one function. If

- $E$  is  $G_\delta$ , or
- $E$  is hereditarily Baire,

then there exists a Baire-one function  $g : X \rightarrow \mathbb{R}$  such that  $g = f$  on  $E$ .

# Questions

- 1 Let  $X$  be a hereditarily Baire completely regular space and  $f$  a Baire-one function on  $X$ . Can  $f$  be extended to a Baire-one function on  $\beta X$ ?
- 2 Let  $X$  be a normal space,  $Y$  a closed hereditarily Baire subset of  $X$  and  $f$  a Baire-one function on  $Y$ . Can  $f$  be extended to a Baire-one function on  $X$ ?
- 3 Let  $X$  be a normal space,  $Y = \bigcap_{n \in \omega} G_n \subseteq X$  is an intersection of co-zero sets and  $f$  a Baire-one function on  $Y$ . Can  $f$  be extended to a Baire-one function on  $X$ ?

# Application of fragmentability to extension of $B_1$ -functions

## Theorem (K. and Mykhaylyuk, 2020)

Let  $X$  be a completely regular space and  $f : X \rightarrow \mathbb{R}$  be a Baire-one function. Consider the following conditions:

- (i)  $f$  is functionally countably fragmented,
- (ii)  $f$  is extendable to a Baire-one function on  $\beta X$ ,
- (iii)  $f$  is extendable to a Baire-one function on any completely regular space  $Y \supseteq X$ ,
- (iv)  $f$  is extendable to a Baire-one function on any compactification  $Y$  of  $X$ ,
- (v)  $f$  is fragmented.

Then (i)  $\Leftrightarrow$  (ii).

If  $X$  is Lindelöf, then

$$(i) \Leftrightarrow (ii) \Leftrightarrow (iii) \Leftrightarrow (iv) \Leftrightarrow (v)$$

## Corollary

### Question 1 (O. Kalenda and J. Spurny)

Let  $X$  be a hereditarily Baire completely regular space and  $f$  a Baire-one function on  $X$ . Can  $f$  be extended to a Baire-one function on  $\beta X$ ?

## Corollary

### Question 1 (O. Kalenda and J. Spurny)

Let  $X$  be a hereditarily Baire completely regular space and  $f$  a Baire-one function on  $X$ . Can  $f$  be extended to a Baire-one function on  $\beta X$ ?

### Theorem (K. and Mykhaylyuk, 2020)

There exist a completely metrizable locally compact space  $X$  and a Baire one function  $f : X \rightarrow [0, 1]$  such that  $f$  is not countably fragmented, in particular,  $f$  can not be extended to a Baire one function  $g : \beta X \rightarrow [0, 1]$ .

## Corollary

- For every ordinal  $\alpha \in [\omega, \omega_1)$  there exists a function  $f : [0, 1] \rightarrow [0, 1]$  such that the index of the 1-fragmentability of  $f$  is  $\alpha + 1$ .

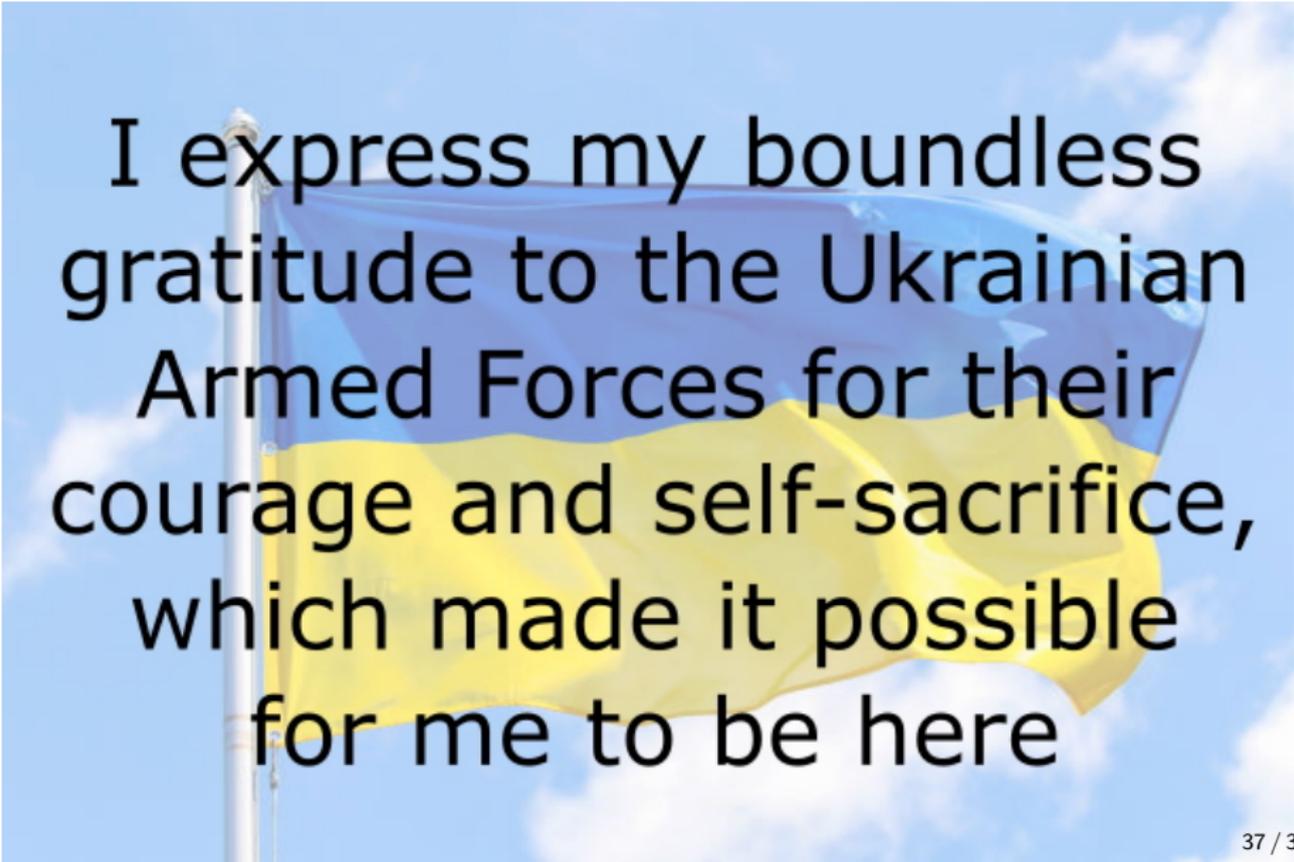
## Corollary

- For every ordinal  $\alpha \in [\omega, \omega_1)$  there exists a function  $f : [0, 1] \rightarrow [0, 1]$  such that the index of the 1-fragmentability of  $f$  is  $\alpha + 1$ .
- For every  $\alpha < \omega_1$  we put  $X_\alpha = [0, 1]$  and consider the completely metrizable locally compact space  $X = \bigoplus_{\alpha < \omega_1} X_\alpha$ .

Now for every  $\alpha < \omega_1$  we choose a countably fragmented function  $f_\alpha : X_\alpha \rightarrow [0, 1]$  such that the index of fragmentability of  $f$  is greater than  $\alpha$ . Now we consider the function  $f : X \rightarrow [0, 1]$ ,  $f(x) = f_\alpha(x)$  if  $x \in X_\alpha$ . Since every  $f_\alpha$  is a Baire one function,  $f$  is a Baire one function too. Moreover, it is clear that  $f$  is not countably fragmented.

# POSTSCRIPTUM

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A Ukrainian flag, consisting of a blue top half and a yellow bottom half, is shown waving on a silver flagpole. The background is a bright blue sky with scattered white clouds. The text is overlaid on the flag and sky.

I express my boundless  
gratitude to the Ukrainian  
Armed Forces for their  
courage and self-sacrifice,  
which made it possible  
for me to be here

# POSTSCRIPTUM

Thank you for the attention!

Glory to Ukraine!