

# Recognizing the topologies on subspaces in $L^p$ -spaces on metric measure spaces

Katsuhisa Koshino

Kanagawa University

2022/7/25

# Outline

- 1 Topological types of subspaces in  $L^p$ -spaces
- 2  $Z$ -sets in  $L^p(X)$
- 3 Characterizations of compact sets in  $L^p$ -spaces

# Topological types of subspaces in $L^p$ -spaces

Throughout this talk,  $X = (X, d, \mu)$  is a metric measure space satisfying the following:

- (Borel) Borel sets of  $X$  are measurable;
- (Borel-regular)  $\forall E \subset X$  is contained in a Borel set  $B \subset X$  s.t.  $\mu(E) = \mu(B)$ ;
- For  $\forall x \in X$  and  $\forall r \in (0, \infty)$ ,  
 $0 < \mu(B(x, r)) < \infty$ .

---

$d$  is a metric and  $\mu$  is a measure.

$B(x, r)$  is the closed ball centered at  $x$  with radius  $r$ .

# Topological types of subspaces in $L^p$ -spaces

Throughout this talk,  $X = (X, d, \mu)$  is a metric measure space satisfying the following:

- (Borel) Borel sets of  $X$  are measurable;
- (Borel-regular)  $\forall E \subset X$  is contained in a Borel set  $B \subset X$  s.t.  $\mu(E) = \mu(B)$ ;
- For  $\forall x \in X$  and  $\forall r \in (0, \infty)$ ,  
 $0 < \mu(B(x, r)) < \infty$ .

For  $1 \leq p < \infty$ , let  $L^p(X) = (L^p(X), \|\cdot\|_p)$  be the  $L^p$ -space on  $X$ , which is a Banach space.

---

$d$  is a metric and  $\mu$  is a measure.

$B(x, r)$  is the closed ball centered at  $x$  with radius  $r$ .

## Problem

Recognize “typical” infinite-dimensional spaces among subspaces of  $L^p(X)$ .

## Problem

Recognize “typical” infinite-dimensional spaces among subspaces of  $L^p(X)$ .

Let  $\ell_2$  be the separable Hilbert space,  $\ell_2^f$  be the linear span of the canonical orthonormal basis on  $\ell_2$ , and  $\mathbf{Q}$  be the Hilbert cube.

## Problem

Recognize “typical” infinite-dimensional spaces among subspaces of  $L^p(X)$ .

Let  $\ell_2$  be the separable Hilbert space,  $\ell_2^f$  be the linear span of the canonical orthonormal basis on  $\ell_2$ , and  $\mathbf{Q}$  be the Hilbert cube.

Due to the efforts of R.D. Anderson and M.I. Kadec, we have the following:

## Theorem 1.1

*If  $X$  is infinite and separable, then  $L^p(X) \approx \ell_2$ .*

Consider

$$\text{UC}(X) = \{f \in L^p(X) \mid f \text{ is uniformly continuous}\}.$$

Consider

$$\text{UC}(X) = \{f \in L^p(X) \mid f \text{ is uniformly continuous}\}.$$

**Theorem 1.2 (R. Cauchy (1991))**

*Let  $[0, 1]$  be equipped with the usual metric and the Lebesgue measure. Then  $\text{UC}([0, 1]) \approx (\ell_2^f)^{\mathbb{N}}$ .*

Consider

$$\text{UC}(X) = \{f \in L^p(X) \mid f \text{ is uniformly continuous}\}.$$

### Theorem 1.2 (R. Coate (1991))

*Let  $[0, 1]$  be equipped with the usual metric and the Lebesgue measure. Then  $\text{UC}([0, 1]) \approx (\ell_2^f)^{\mathbb{N}}$ .*

### Theorem A (K (2020))

If  $X$  is separable and locally compact, and  $\{x \in X \mid \mu(\{x\}) \neq 0\}$  is not dense in  $X$ , then  $\text{UC}(X) \approx (\ell_2^f)^{\mathbb{N}}$ .

A space  $X$  is **doubling** if the following is satisfied.

- $\exists \gamma \geq 1$  s.t.  $\mu(B(x, 2r)) \leq \gamma \mu(B(x, r))$  for  
 $\forall x \in X$  and  $\forall r > 0$ .

A space  $X$  is **doubling** if the following is satisfied.

- $\exists \gamma \geq 1$  s.t.  $\mu(B(x, 2r)) \leq \gamma \mu(B(x, r))$  for  
 $\forall x \in X$  and  $\forall r > 0$ .

Consider

$$\text{LIP}_b(X) = \{f \in L^p(X) \mid f \text{ is lipschitz with a bounded support}\}.$$

A space  $X$  is **doubling** if the following is satisfied.

- $\exists \gamma \geq 1$  s.t.  $\mu(B(x, 2r)) \leq \gamma \mu(B(x, r))$  for  $\forall x \in X$  and  $\forall r > 0$ .

Consider

$$\text{LIP}_b(X) = \{f \in L^p(X) \mid f \text{ is lipschitz with a bounded support}\}.$$

## Theorem B (K (?))

Let  $X$  be non-degenerate, separable and doubling.  
Suppose that

(\*) for  $\forall x \in X$ ,

$$(0, \infty) \ni r \mapsto \mu(B(x, r)) \in (0, \infty)$$

is continuous.

Then  $(L^p(X), \text{LIP}_b(X)) \approx (\ell_2 \times \mathbf{Q}, \ell_2^f \times \mathbf{Q})$ .

# Z-sets in $L^p(X)$

## Definition (Z-set)

For  $A \subset Y$ ,  $A$  is a **(strong) Z-set** in  $Y$  if  $\text{id}_Y$  is approximated by  $f : Y \rightarrow Y$  s.t.  $f(Y) \cap A = \emptyset$  ( $\text{cl } f(Y) \cap A = \emptyset$ ).

# Z-sets in $L^p(X)$

## Definition (Z-set)

For  $A \subset Y$ ,  $A$  is a **(strong) Z-set** in  $Y$  if  $\text{id}_Y$  is approximated by  $f : Y \rightarrow Y$  s.t.  $f(Y) \cap A = \emptyset$  ( $\text{cl } f(Y) \cap A = \emptyset$ ).

For a class  $\mathfrak{C}$ ,  $Y$  is **strongly  $\mathfrak{C}$ -universal** if the following holds.

- Let  $f : A \rightarrow Y$ ,  $A \in \mathfrak{C}$ . Suppose that  $B \subset A$  and  $f|_B$  is a Z-embedding. Then  $f$  is approximated by a Z-embedding  $g : A \rightarrow Y$  s.t.  $g|_B = f|_B$ .

## Theorem 2.1 (absorbing set)

Let  $Y \subset M$ . Suppose that  $M \approx \ell_2$  and  $Y$  satisfies the following:

- 1  $Y$  is homotopy dense in  $M$  and  $Y \in (\mathfrak{M}_2)_\sigma$ ;
- 2  $Y$  is strongly  $\mathfrak{M}_2$ -universal;
- 3  $Y$  is contained in a strong  $Z_\sigma$ -set in  $M$ .

Then  $Y \approx (\ell_2^f)^\mathbb{N}$ .

---

$Y$  is **homotopy dense** in  $M$  if  $\exists h : M \times \mathbf{I} \rightarrow M$  s.t.  
 $h(M \times (0, 1]) \subset Y$  and  $h(y, 0) = y$  for  $\forall y \in M$ .

$\mathfrak{M}_2$  is the class of absolute  $F_{\sigma\delta}$  sets.

A strong  $Z_\sigma$ -set is a countable union of strong  $Z$ -sets.

## Problem

Detect  $Z$ -sets in  $L^p(X)$ .

## Problem

Detect  $Z$ -sets in  $L^p(X)$ .

## Lemma 2.2

Let  $\phi : Y \rightarrow L^p(X)$  and  $a \in X$  with  $\mu(\{a\}) = 0$ .  
Then for  $\forall \epsilon : Y \rightarrow (0, 1)$ ,  $\exists \psi : Y \rightarrow L^p(X)$  and  
 $\exists \delta : Y \rightarrow (0, 1)$  s.t. for  $\forall y \in Y$ ,

- 1  $\|\phi(y) - \psi(y)\|_p \leq \epsilon(y)$ ,
- 2  $\psi(y)(B(a, \delta(y))) = \{0\}$ .

## Problem

Detect  $Z$ -sets in  $L^p(X)$ .

## Lemma 2.2

Let  $\phi : Y \rightarrow L^p(X)$  and  $a \in X$  with  $\mu(\{a\}) = 0$ .  
Then for  $\forall \epsilon : Y \rightarrow (0, 1)$ ,  $\exists \psi : Y \rightarrow L^p(X)$  and  
 $\exists \delta : Y \rightarrow (0, 1)$  s.t. for  $\forall y \in Y$ ,

- 1  $\|\phi(y) - \psi(y)\|_p \leq \epsilon(y)$ ,
- 2  $\psi(y)(B(a, \delta(y))) = \{0\}$ .

For  $\forall n \in \mathbb{N}$  and  $\forall U \subset_{\text{open}} \text{int} \{x \in X \mid \mu(\{x\}) = 0\}$ ,

$Z(n, U) = \{f \in L^p(X) \mid |f(x)| \geq 1/n \text{ for a.e. } x \in U\}$

is a  $Z$ -set in  $L^p(X)$  by Lemma 2.2.

## Lemma 2.3

Let  $a \in X$  with  $\mu(\{a\}) = 0$ . Suppose that  $A \subset L^p(X)$  and  $\xi : A \rightarrow (0, \infty)$  s.t. for  $\forall f \in A$ ,  $f(x) = 0$  for a.e.  $x \in B(a, \xi(f))$ , and that  $B$  is a  $Z$ -set in  $L^p(X)$ . If  $A \cup B \stackrel{\text{closed}}{\subset} L^p(X)$ , then it is a  $Z$ -set.

# Characterizations of compact sets in $L^p$ -spaces

Theorem 3.1 (D. Curtis-T. Dobrowolski-J. Mogilski (1984))

*Let  $C$  be a  $\sigma$ -compact convex set in a completely metrizable linear space. Suppose that  $\text{cl } C$  is an AR and not locally compact. Then  $(\text{cl } C, C) \approx (\ell_2 \times \mathbf{Q}, \ell_2^f \times \mathbf{Q})$  if  $C$  contains an infinite-dimensional locally compact convex set.*

Compact sets in  $\ell_2$  are  $Z$ -sets.

## Problem

Give a criterion for subsets of  $L^p(X)$  to be compact.

---

For  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  and  $a \in \mathbb{R}^n$ ,  $\tau_a f(x) = f(x - a)$ .

For  $E \subset X$ ,  $\chi_E$  is the characteristic function of  $E$ , and for  $f : X \rightarrow \mathbb{R}$ ,  $f\chi_E(x) = f(x) \cdot \chi_E(x)$ .

## Problem

Give a criterion for subsets of  $L^p(X)$  to be compact.

Theorem 3.2 (A.N. Kolmogorov (1931), M. Riesz (1933))

A subset  $F \subset L^p(\mathbb{R}^n)$  is relatively compact if and only if the following are satisfied.

- 1 For  $\forall \epsilon > 0$ ,  $\exists \delta > 0$  s.t.  $\|\tau_a f - f\|_p < \epsilon$  for  $\forall f \in F$  and  $\forall a \in \mathbb{R}^n$  with  $|a| < \delta$ .
- 2 For  $\forall \epsilon > 0$ ,  $\exists r > 0$  s.t.  $\|f \chi_{\mathbb{R}^n \setminus B(\mathbf{0}, r)}\|_p < \epsilon$  for  $\forall f \in F$ .

---

For  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  and  $a \in \mathbb{R}^n$ ,  $\tau_a f(x) = f(x - a)$ .

For  $E \subset X$ ,  $\chi_E$  is the characteristic function of  $E$ , and for  $f : X \rightarrow \mathbb{R}$ ,  $f \chi_E(x) = f(x) \cdot \chi_E(x)$ .

For  $f \in L^p(X)$  and  $r > 0$ , define  $A_r f : X \rightarrow \mathbb{R}$  by

$$A_r f(x) = \frac{1}{\mu(B(x, r))} \int_{B(x, r)} f \chi_{B(x, r)}(y) d\mu(y),$$

which is called the **average function** of  $f$ .

For  $f \in L^p(X)$  and  $r > 0$ , define  $A_r f : X \rightarrow \mathbb{R}$  by

$$A_r f(x) = \frac{1}{\mu(B(x, r))} \int_{B(x, r)} f \chi_{B(x, r)}(y) d\mu(y),$$

which is called the **average function** of  $f$ .

### Theorem 3.3 (P. Górká-A. Macios (2014))

Let  $X$  be doubling and  $p > 1$ . Suppose that  $\inf\{\mu(B(x, r)) \mid x \in X\} > 0$  for  $\forall r > 0$ . Then  $F \subset L^p(X)$  is relatively compact if and only if the following hold.

- 1 For  $\forall \epsilon > 0$ ,  $\exists \delta > 0$  s.t. for  $\forall f \in F$  and  $\forall r \in (0, \delta)$ ,  $\|A_r f - f\|_p < \epsilon$ .
- 2 For  $\forall \epsilon > 0$ ,  $\exists E \subset X$  s.t.  $\|f \chi_{X \setminus E}\|_p < \epsilon$  for  $\forall f \in F$ .

## Theorem C

Let  $X$  be doubling. Suppose that

(\*) for  $\forall x \in X$  and  $\forall r > 0$ ,

$$\mu(B(x, r) \Delta B(y, r)) \rightarrow 0 \text{ as } y \rightarrow x.$$

Then  $F \subset L^p(X)$  is relatively compact if and only if the following are satisfied.

- 1 For  $\forall \epsilon > 0$ ,  $\exists \delta > 0$  s.t. for  $\forall f \in F$  and  $\forall r \in (0, \delta)$ ,  $\|A_r f - f\|_p < \epsilon$ .
- 2 For  $\forall \epsilon > 0$ ,  $\exists E \subset X$  s.t.  $\|f \chi_{X \setminus E}\|_p < \epsilon$  for  $\forall f \in F$ .

Consider the following conditions between  $d$  and  $\mu$ .

( $\star$ ) For  $\forall x \in X$ ,

$$(0, \infty) \ni r \mapsto \mu(B(x, r)) \in (0, \infty)$$

is continuous.

( $\ast$ ) For  $\forall x \in X$  and  $\forall r \in (0, \infty)$ ,

$$\mu(B(x, r) \Delta B(y, r)) \rightarrow 0 \text{ as } y \rightarrow x.$$

( $\dagger$ ) For  $\forall r \in (0, \infty)$ ,

$$X \ni x \mapsto \mu(B(x, r)) \in (0, \infty)$$

is continuous.

Then ( $\star$ )  $\Rightarrow$  ( $\ast$ )  $\Rightarrow$  ( $\dagger$ ).

Fix  $\forall x_0 \in X$ . For  $n \in \mathbb{N}$ , let

$$L(n) = \{f \in \text{LIP}_b(X) \mid \|f\|_p \leq n, \text{lip } f \leq n, \text{supp } f \subset B(x_0, n)\}.$$

Then  $\text{LIP}_b(X) = \bigcup_{n \in \mathbb{N}} L(n)$ .

Fix  $\forall x_0 \in X$ . For  $n \in \mathbb{N}$ , let

$$L(n) = \{f \in \text{LIP}_b(X) \mid \|f\|_p \leq n, \text{lip } f \leq n, \text{supp } f \subset B(x_0, n)\}.$$

Then  $\text{LIP}_b(X) = \bigcup_{n \in \mathbb{N}} L(n)$ .

If  $X$  is doubling and satisfies  $(*)$ , then  $L(n)$  is compact by Theorem C.